



How to Evaluate Results

Human-Computer Interaction Exercise

Results and the Research Question

- **All results need a research question**

- › Research questions are often related to a paradigm or theoretical framework
 - › e.g., “Is there an Uncanny Valley of animals?” [1]
- › A hypothesis asks for:
 - › e.g., „There is a significant dip in perceived familiarity at higher levels fo realism of dogs, cats, ...“

- **A research question is a question** (no theory, no answer, no assumption,...)

- › In **quantitative studies, you have a hypothesis is your answer on that research question**
 - › „Explorative“ user studies can have multiple hypotheses
- › In **qualitative studies** you have **no hypothesis (yet)**
- › In **mixed methods studies** (quantitative and qualitative methods), you can combine them!

[1] V. Schwind, K. Leicht, S. Jäger, K. Wolf, N. Henze, Is there an uncanny valley of virtual animals? A quantitative and qualitative investigation, International Journal of Human-Computer Studies,

Research Question

- Recap: **A RQ must ask for new knowledge**
 - › You write the results because you are the only one with this knowledge!
- It can be
 - › answered **in whole**
 - › answered **in part** or under certain circumstances
 - › rejected as **unanswerable**
 - › only an **apparent** problem
 - › question to **a theory**

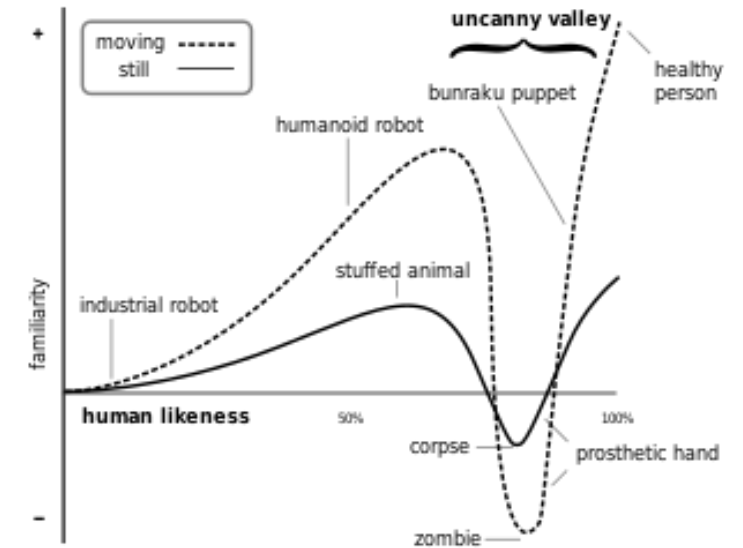


Image from https://en.wikipedia.org/wiki/Uncanny_valley
Smurrayinchester - self-made, based on image by Masahiro Mori and Karl MacDorman at <http://www.androidscience.com/theuncannyvalley/proceedings2005/uncannyvalley.html>
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[1] V. Schwind, K. Leicht, S. Jäger, K. Wolf, N. Henze, Is there an uncanny valley of virtual animals? A quantitative and qualitative investigation, International Journal of Human-Computer Studies,

Hypothesis vs Theory

- A **theory**...
 - › is an abstract and generalized thinking about a phenomenon
 - › contains a group of logical explanations based on empirical data
- A **hypothesis**...
 - › is a proposed explanation (for a phenomenon)
 - › is a logical consequence („if... then“...)
 - › can be tested and falsified
- A **working hypothesis**...
 - › is your hypothesis that is *provisionally* accepted as a basis for further research
- What is a hypothesis?

Internal & External Validity

■ Internal Validity

- › **Quality criteria:** **objectivity**, **reproducibility**, **documentation**, and **elimination of confounds**
- › **High**, when there are **no alternative explanations for your results**
 - › The variation of your dependent variable is caused by the variation of your independent variable
- › **Low**, when there when experimental effects can be explained otherwise
 - › The variation of your dependent variable can by explained by the variation of confounds

■ External Validity / Ecological validity

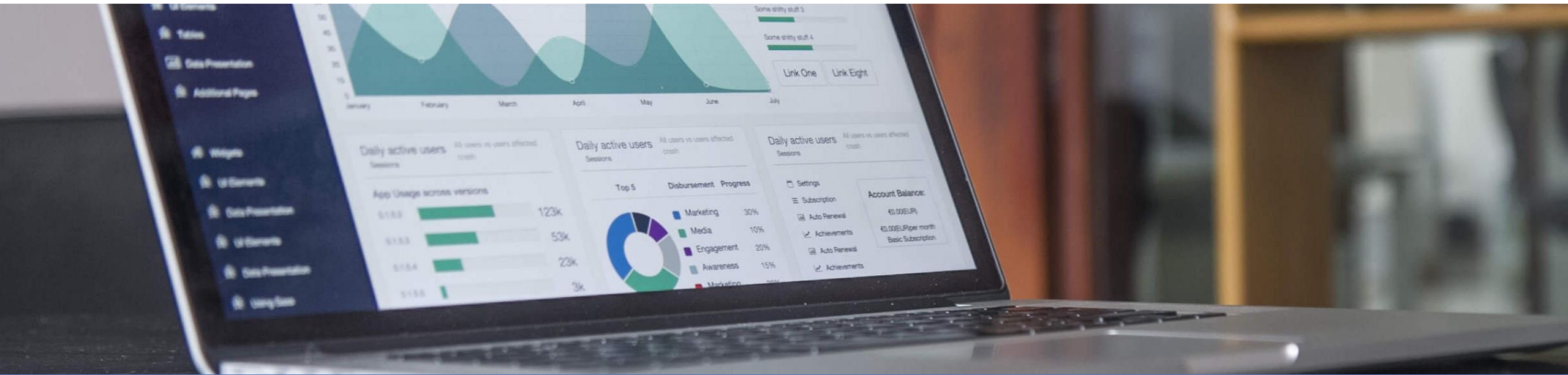
- › The extent to which **results can be generalized**
- › **High**, when results of the study can be transferred to the real world
 - › e.g., does the sample represent the general population?
- › **Low** when the results cannot be applied to the population or real-life situations outside of the research setting

Internal vs. External Validity

- Do **internal and external validity contradict** each other?
 - › **Internal validity**: “You have to control all interfering variables”
 - › **External validity**: “You establish an artificial, experimental setting”
- **Theories are being tested deductively**, not inductively
 - › Theories are always based on the **assumption of falsification**
 - › Does the observation of an experiment with high internal validity contradicts the theory?
 - › If yes: irrelevant if the results are “representative”
 - The theory must be discarded or refined
 - › If no: the experiment supports the theory
 - The theory must be further tested

What's next?

- **Evaluating Quantitative Data:** objectively report the
 - › Descriptive **and** Inferential Statistics
 - › **Descriptive statistics are easy:** text, plot, or table
 - › **Inferential statistics are horror for students:** There are thousands of inferential statistical tests and the challenge is to find the correct one for your study
 - › Running this one test is super easy!
- **Evaluating Qualitative Data:** subjectively analyze the feedback and observations
 - › Thematic Analysis **or** Grounded Theory
 - › Both need time but grounded theory needs more time than thematic analysis
 - › If you have only qualitative feedback in your study it is highly recommended to perform grounded theory to extent the contribution
 - › In mixed-method designs: thematic analysis is okay



Evaluating Quantitative Data

Human-Computer Interaction Exercise

Let's Test Our New Swipe Feature



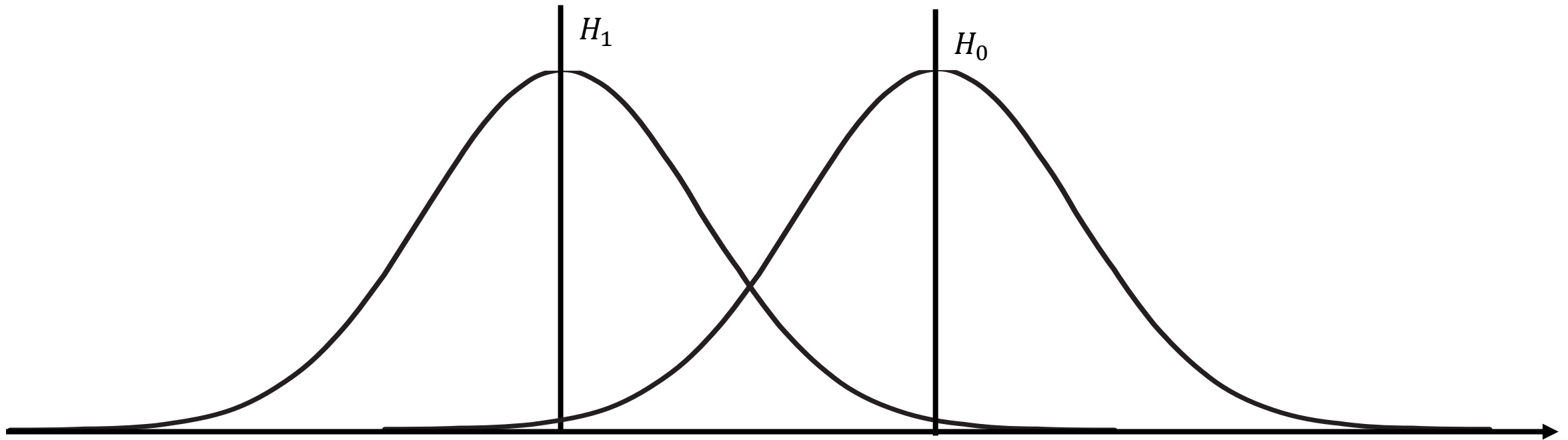


Example

- ~~Swipe users type very fast~~
- Typing with Swipe increases the typing performance (H1) and decreases workload (H2) compared to typing without Swipe.
 - › Typing performance can be operationalized by
 - › words per minute (WPM)
 - › characters per minute (CPM)
 - › error rate
 - › number of wrong / number of total words
 - › number of backspace presses / number of characters
 - › Workload can be operationalized by
 - › NASA Taskload Index TLX, Quantitative Workload Inventory QWI, CarMen-Q Questionnaire,...
 - › Do you assume an effect on.... all measures?

The Hypothesis

- **You** consider the hypothesis as **an explanation**
- **Statisticians** consider the hypothesis as **a signal** (or its probability distribution)
 - › Thus, to assess the meaning of a signal (and the hypothesis) **we need a comparison**



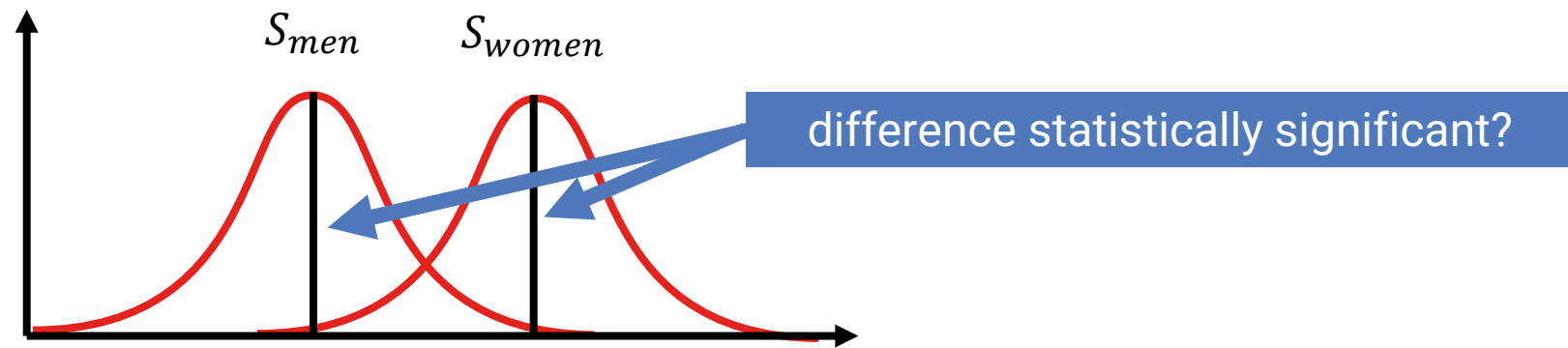
Comparing Hypotheses

- **Alternative Hypothesis** (“H1”, “H2”...)

- e.g., *“There is a difference in typing speed between males and females”*
- Directional Hypothesis („H1a”):
 - e.g., *“Males have a lower typing speed than females”*

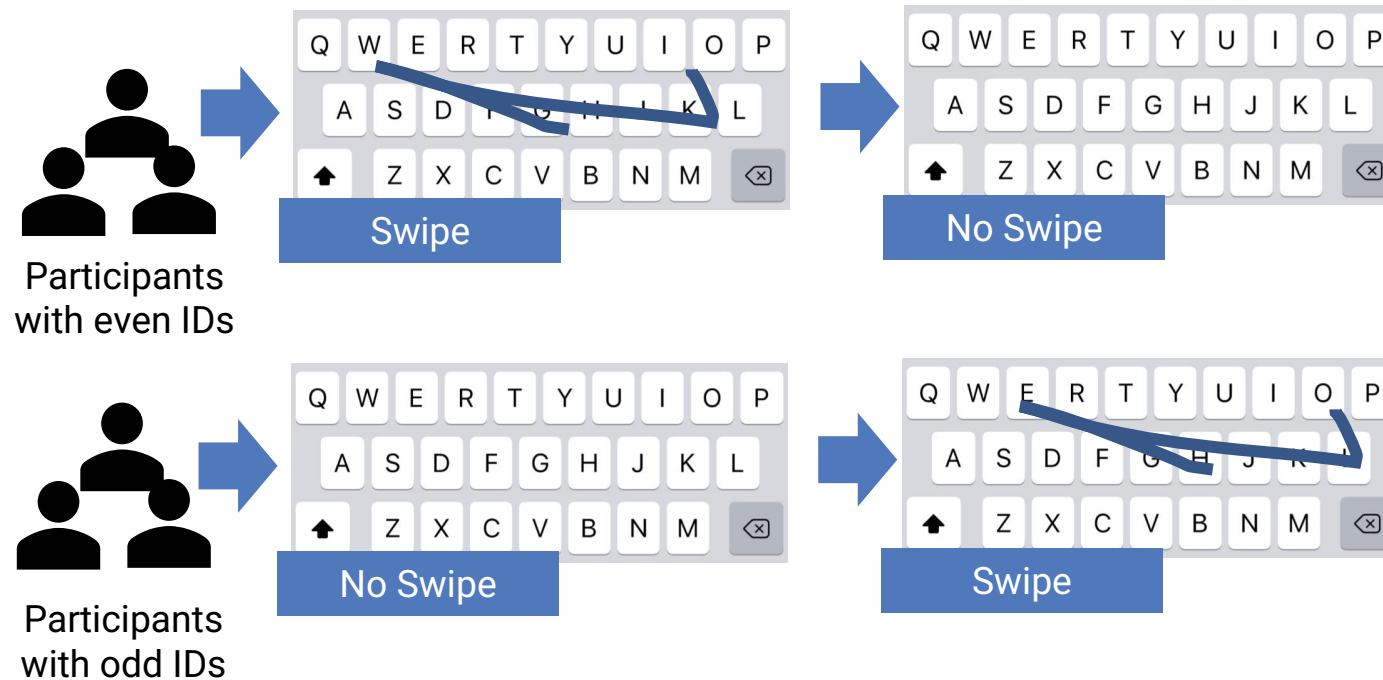
- **Null hypothesis** (“H0”)

- e.g., *“There is no difference in typing speed between males and females”*



Comparing Two Prototypes

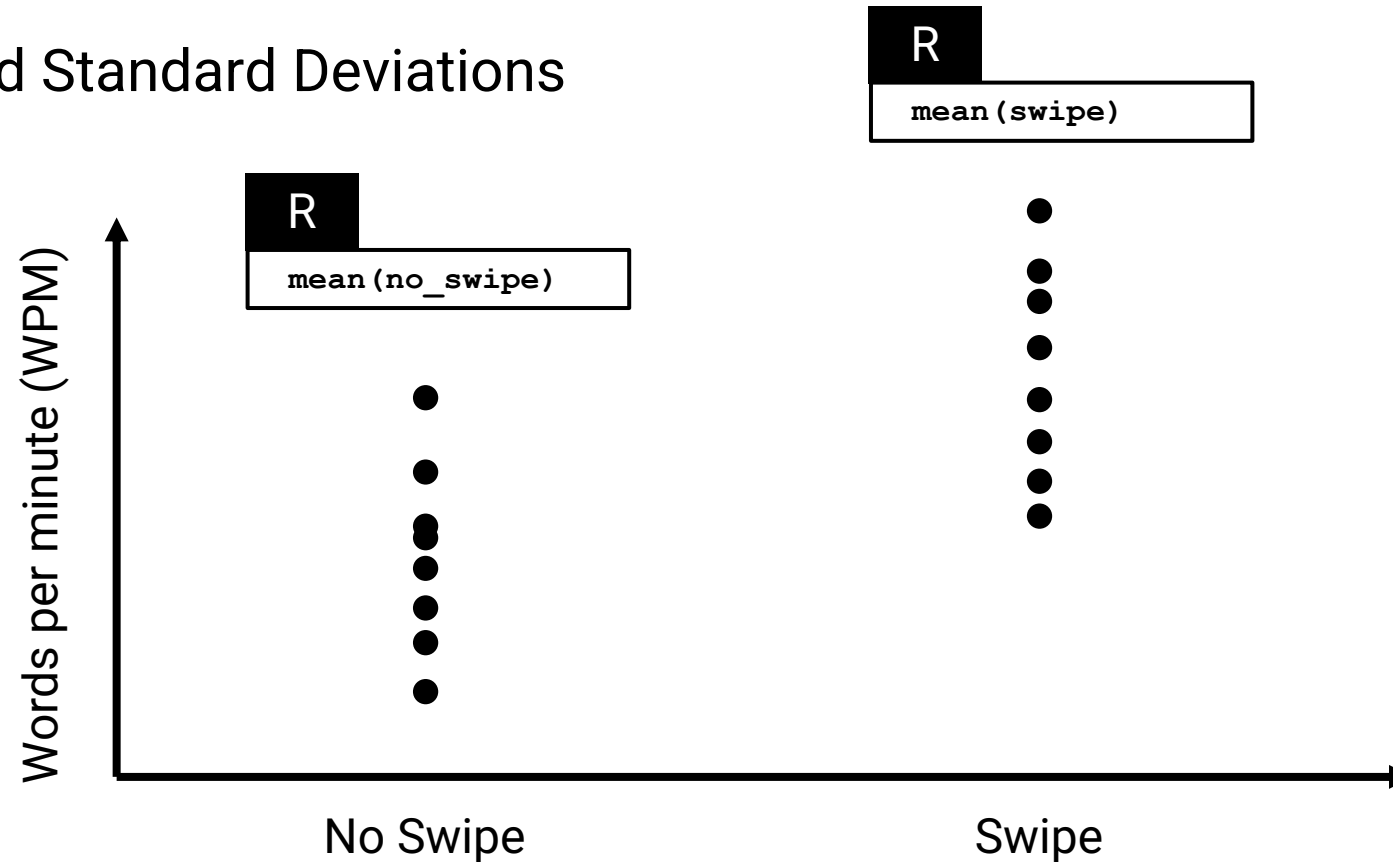
- Within-subject: Counter-balanced order
 - › Swipe → No Swipe
 - › No Swipe → Swipe





Comparing Two Prototypes

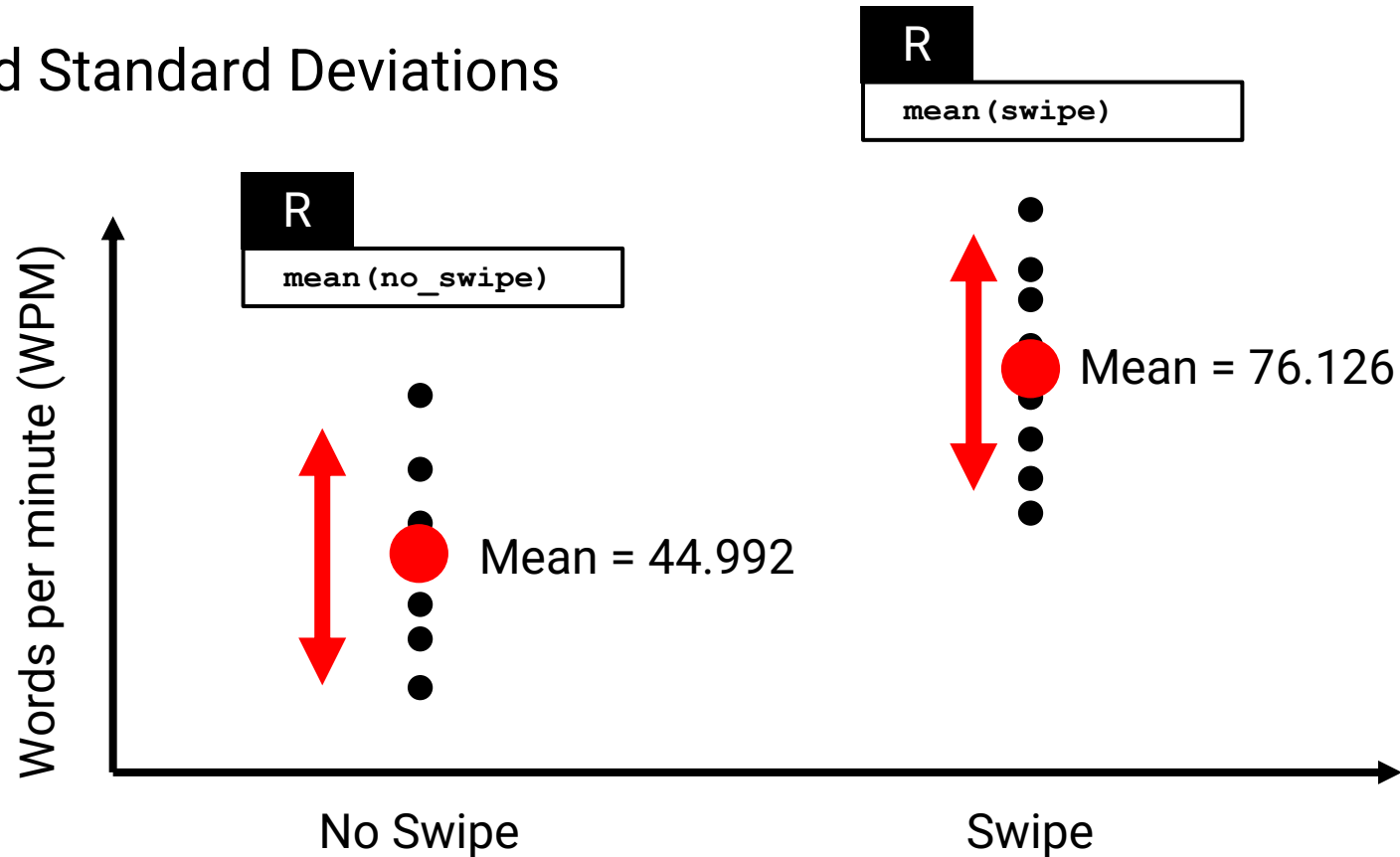
- Means and Standard Deviations



#	No Swipe	Swipe
1	44.559	75.381
2	42.951	76.255
3	44.398	93.795
4	25.026	82.015
5	54.82	55.238
6	44.034	70.151
7	50.782	75.997
8	55.549	88.35
9	56.425	63.869
10	43.983	68.029
11	30.747	77.1
12	46.634	87.327

Comparing Two Prototypes

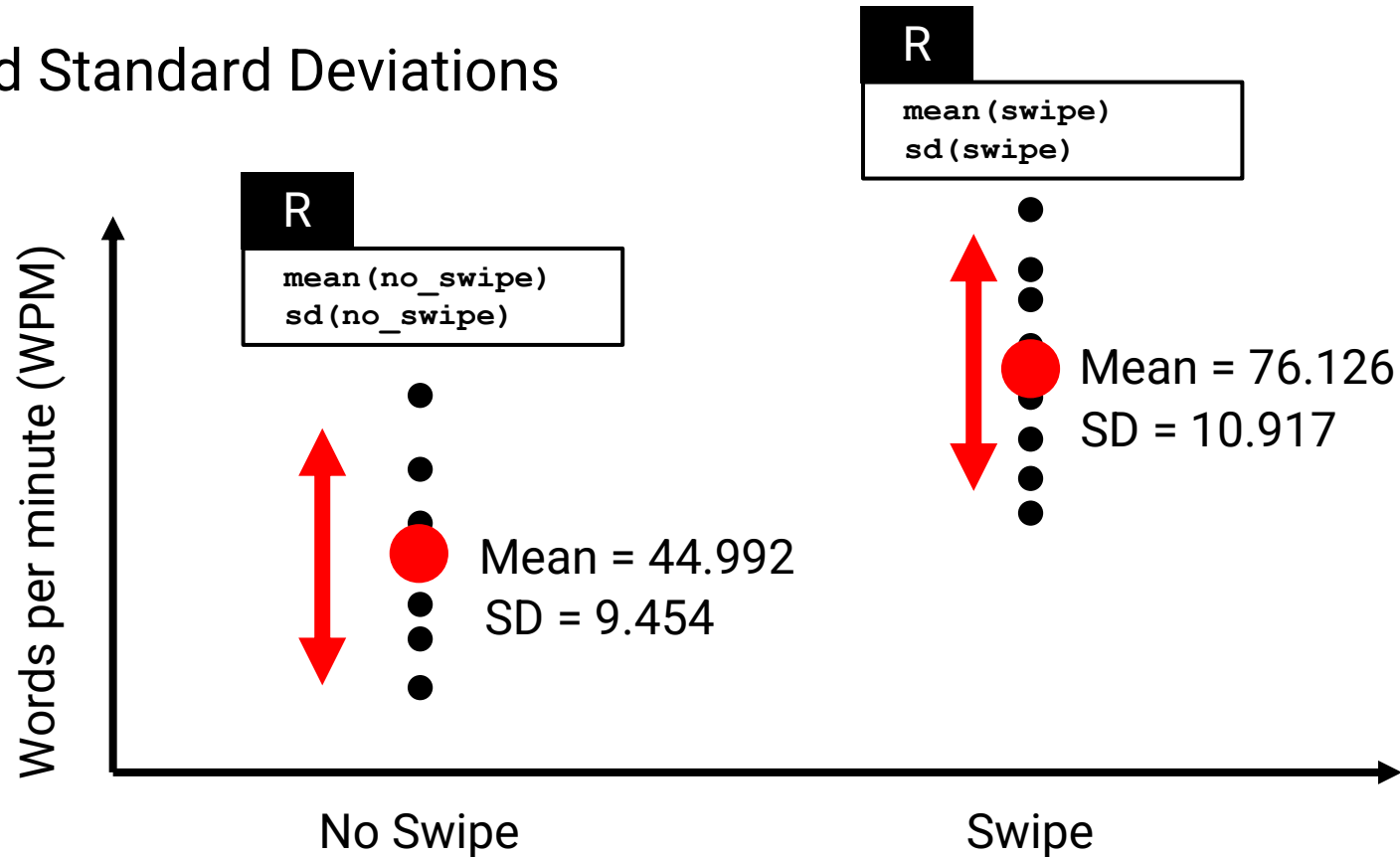
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Descriptive Statistics: Text, Plot, or Table

- „The average WPM without Swipe was $M = 44.992$ ($SD = 9.454$) while the average WPM using Swipe was $M = 76.126$ ($SD = 10.917$).“

Text

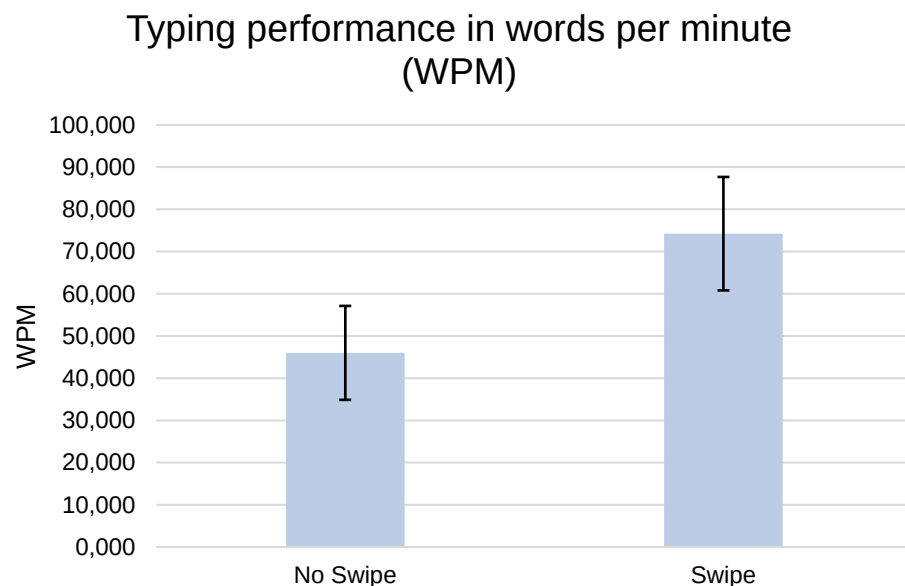


Figure 3: Average typing speeds of Swipe and typing without. Error bars show standard deviation.

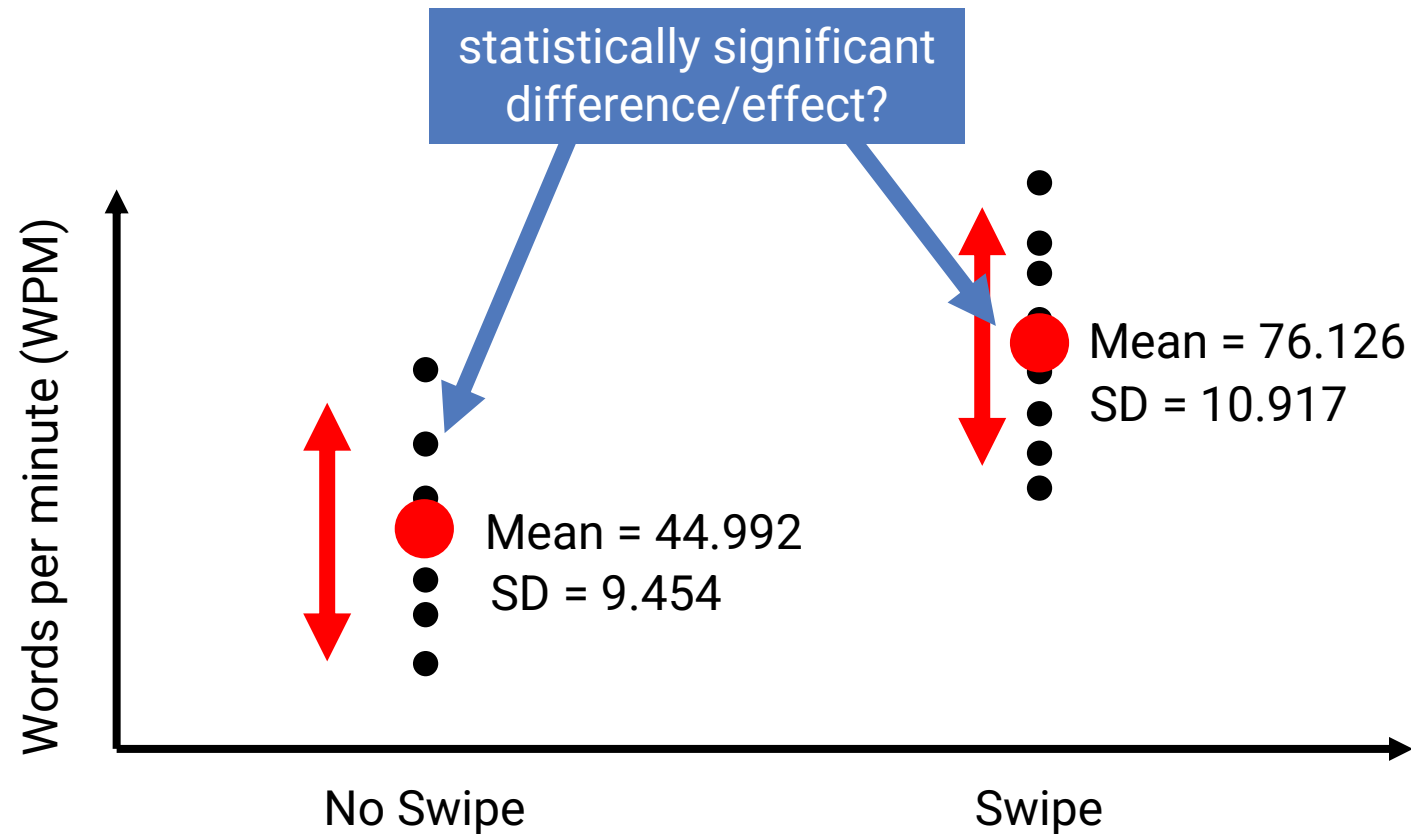
Plot

Keyboard	Mean WPM	SD WPM
No Swipe	44.992	9.454
Swipe	76.126	10.917

Table

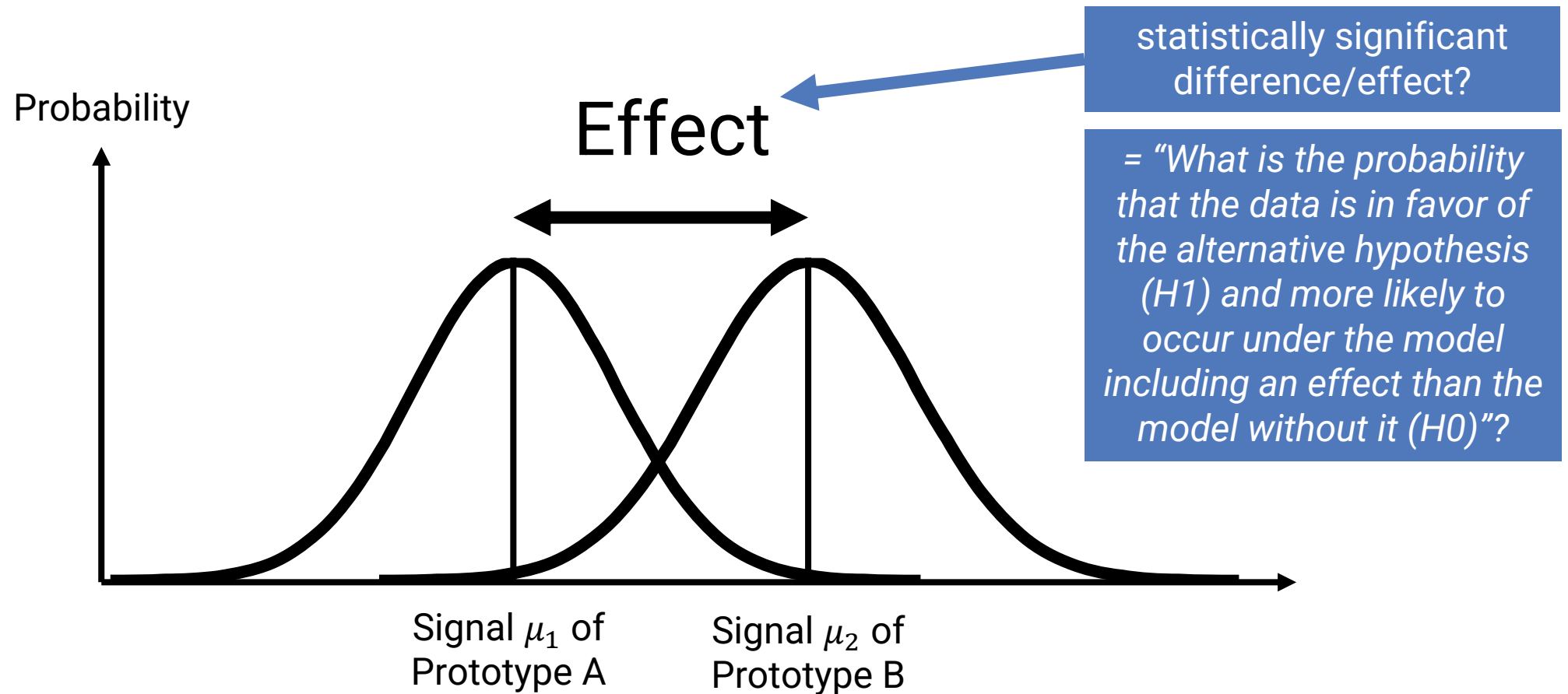
Combine visual with text or table

Comparing Two Prototypes

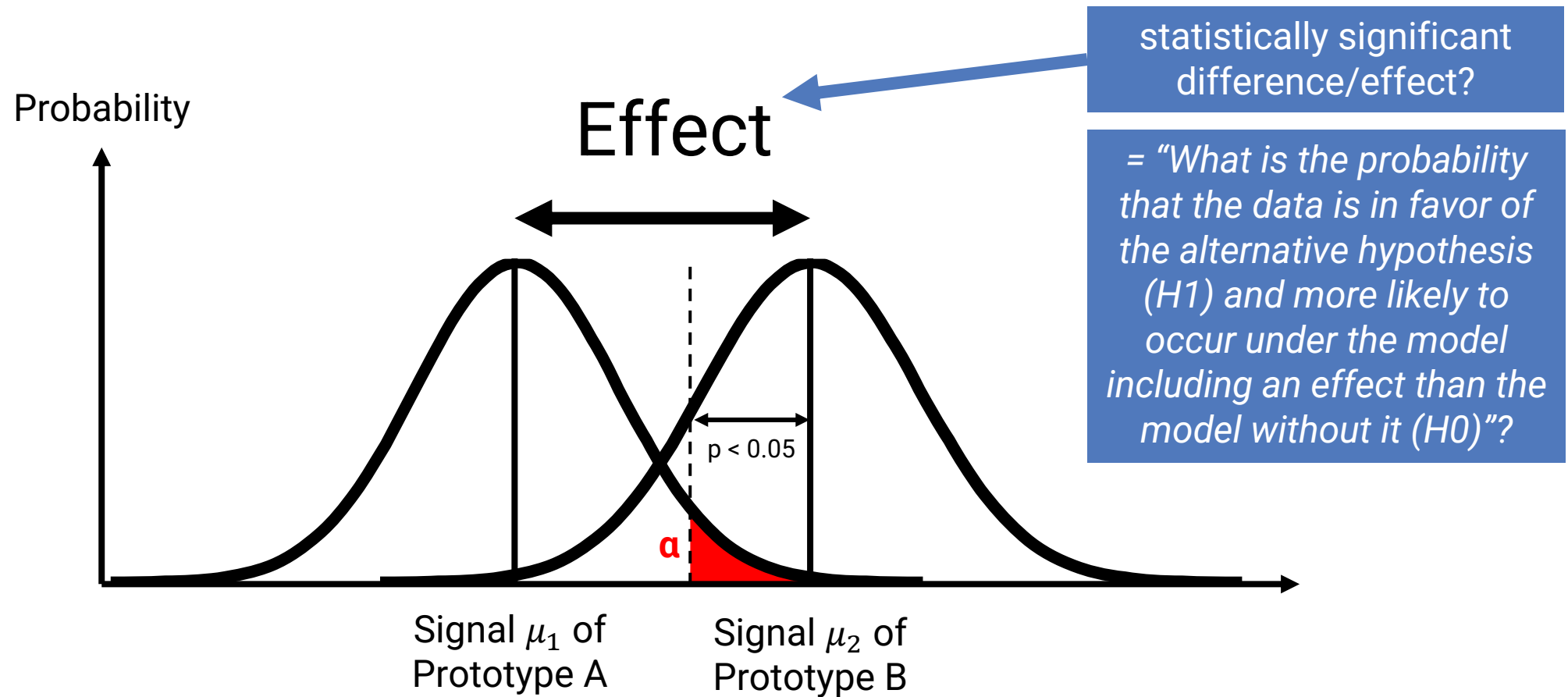


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Statistical Significant Difference/Effect



Statistical Significant Difference/Effect



Statistical Significance

- A **statistically significant** effect (or difference) exists if the probability that the difference occurred is **below a certain significance level**
- Significance level (α)
 - › **Lower significance level means higher evidence**
 - › Arbitrary, but typical significance level: **$\alpha = 0.05$**
- Significant results (**$p < \alpha$**)
 - › **Null hypothesis can be rejected**
 - › “There is a statistically significant effect (or difference)”
- Non-Significant results (**$p \geq \alpha$**)
 - › **Null hypothesis cannot be rejected**
 - › “We cannot conclude anything!”

Type I & Type II Errors

- Let's say we have $p = .028$

Type I error (False Positive) non-existing effect found 2.8%		true	Effect found
		false	
false	true	Effect exists	

Type I & Type II Errors

- Let's say we have $p = .028$

Type I error (False Positive)		Effect found
Correct (True Positive)		
non-existing effect found 2.8%	existing effect was found 97.2%	true
		false
Effect exists	false	true

Type I & Type II Errors

- Let's say we have $p = .028$

Type I error (False Positive) non-existing effect found 2.8%	Correct (True Positive) existing effect was found 97.2%	true	Effect found
Correct (True Negative) no effect exists, no effect found		false	
false	true		Effect exists

Type I & Type II Errors

- Let's say we have $p = .028$

Type I error (False Positive) non-existing effect found 2.8%	Correct (True Positive) existing effect was found 97.2%	true	Effect found
Correct (True Negative) no effect exists, no effect found	Type II error (False Negative) effect exists, but is not found	false	
false	true		
Effect exists			

Type III and Type IV Errors

- **Type III: “Wrong hypothesis, right answer”**

- › Researcher is either focusing on theory or on evaluation but not on the reasoning chain
- › Incorrect operationalization of variables
- › Poor theory (e.g., ad hoc explanations of findings)
- › Mis-identifying causal architecture
 - › e.g.: focusing on inter-individual factors (gender- or age-related differences) rather than structural factors

- **Type IV: “Right hypothesis, wrong answer”**

- › Collinearity among predictors
- › Aggregation bias
- › Wrong test

Statistical Power

- Statistical power of a binary hypothesis test is **the probability that a statistical test correctly rejects the null hypothesis** (in %) when a specific alternative hypothesis is true.
- Aspects that increase the statistical power
 - › **increase the statistical significance criterion** ($\alpha = 0.05$)
 - You need a justification why you increased α . Almost impossible because it is consensus.
 - › **more conditions**
 - Statisticians have a trick to get around this
 - › **more measures**
 - You need a justification why a measure is part of the research question
 - › **higher sample size**
 - Invite more participants
 - › **higher effect size**
 - Make something impactful (we like that)
 - › **reducing noise in your data**
 - Decrease the variance to get statistically significant results

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-
- ```
graph LR; A[be very careful!] -- red --> B[increase the statistical significance criterion]; A -- red --> C[more conditions]; A -- red --> D[more measures]; E[optimize for that!] -- green --> F[higher sample size]; E -- green --> G[higher effect size]; E -- green --> H[reducing noise in your data];
```



# Estimate the Effect Size

- An effect size **measures the strength of the relationship between independent and dependent variable**
- Cohen's d [1] is a measure of the standardized difference between two samples

$$d = \frac{m_1 - m_2}{sd} \quad \text{with} \quad sd = \sqrt{\frac{sd_1^2 + sd_2^2}{2}}$$

M1: Mean of Group 1, M2: Mean of Group 2, SD: Pooled standard deviation

- **We can interpretate them:**
  - › Negligible effect size:  $|d| \approx 0.0$
  - › Small effect size:  $|d| \approx 0.2$
  - › Medium effect size:  $|d| \approx 0.5$
  - › Large effect size:  $|d| \approx 0.8$
- **There are many more measures of the effect size!**
  - › They also depend on the statistical test (etc. t-test effect size != ANOVA effect size)

[1] J. Cohen: Statistical Power Analysis for the Behavioral Sciences. 2. Auflage. Lawrence Erlbaum Associates, Hillsdale 1988, ISBN 0-8058-0283-5.

# Estimate the Sample Size

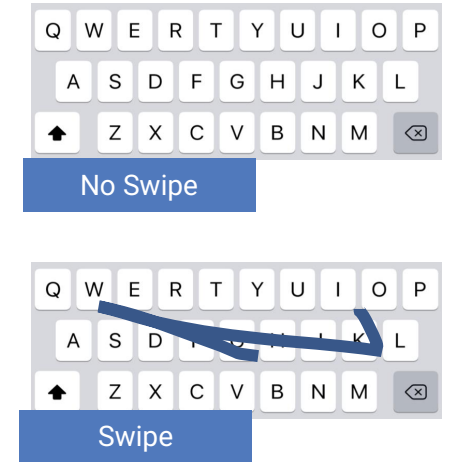
- Typically, you invite **the correct sample number** participants/samples
  - › **You need the estimated effect size:**
    - ›  $|d| < 0.20$  (negligible),  $|d| > 0.20$  (small),  $|d| > 0.50$  (medium),  $|d| > 0.80$  (large)
- **Quick'n'dirty: Lehr's rule of thumb** [1] for sample sizes:  $N = \frac{16}{d^2}$  with  $d = \frac{m_1 - m_2}{sd}$ 
  - › e.g., to detect a 10-point difference between two groups with a SD of 20:
    - ›  $N = \frac{16}{(100-90/20)^2} = 64$
    - › You need 64 people
- **Correct: Power Analyses**
  - › Compute the statistical power analyses for your test with G\*Power [2]

[1] Robert Lehr (1992), "SixteenS-squared overD-squared: A relation for crude sample size estimates", Statistics in Medicine (in German), vol. 11, no. 8, pp. 1099–1102, doi:10.1002/sim.4780110811, ISSN 0277-6715

[2] <https://www.psychologie.hhu.de/arbeitsgruppen/allgemeine-psychologie-und-arbeitspsychologie/gpower>

# Statistical Tests

- We need a **statistical tests** to find **statistically significant effects**
- **Before you start with any experimental research:**
  1. **Ensure that there is a statistical test** for your design
  2. **Determine the correct statistical test** for your design
  3. **Experiment with placeholder data** (e.g., from earlier or hypothetical experiments) if the test can be performed correctly:
  4. **The kind of data** your dependent and independent variable

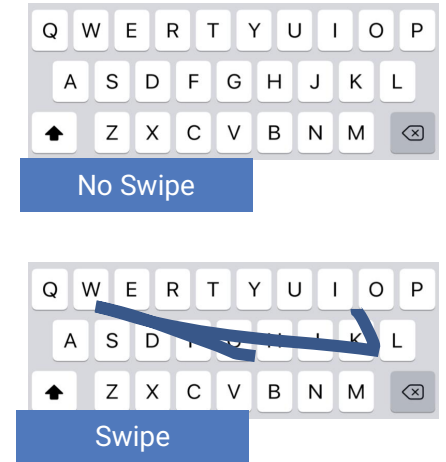


# Kind of Data

| Kind            | Continuous                                                                                                                                   |                                                        |                                                                                            | Discrete                                                     |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| Data Type       | Ratio Data                                                                                                                                   | Interval Data                                          | Ordinal Data                                                                               | Nominal Data                                                 |
| Quantity        | Devided numbers                                                                                                                              | Numbers with fixed intervals                           | Numbers you can sort                                                                       | Things in categories                                         |
| Examples        | scores, bandwidth, speed, rates, throughput, <u>words per minute</u> ...                                                                     | distance in meters, time, temperature in C°, weight... | marks in school (1,2,3,4,5,6), counts, ranks, orders, ...                                  | gender, countries, animals, groups, prototypes, scenarios... |
| Data Properties | Parametric                                                                                                                                   |                                                        | Nonparametric Data                                                                         |                                                              |
| Variance        | <ul style="list-style-type: none"> <li>Homogeneous (equal)</li> </ul>                                                                        |                                                        | <ul style="list-style-type: none"> <li>Homo- and Heterogeneous</li> </ul>                  |                                                              |
| Relationships   | <ul style="list-style-type: none"> <li>Independent</li> </ul>                                                                                |                                                        | <ul style="list-style-type: none"> <li>Any</li> </ul>                                      |                                                              |
| Central Measure | <ul style="list-style-type: none"> <li>Means</li> <li>Standard Deviation</li> <li>Standard Error</li> <li>95% Confidence Interval</li> </ul> |                                                        | <ul style="list-style-type: none"> <li>Median</li> <li>25/50/75/95% Quantiles</li> </ul>   |                                                              |
| Distribution    | <ul style="list-style-type: none"> <li>Normal</li> </ul>                                                                                     |                                                        | <ul style="list-style-type: none"> <li>Any</li> </ul>                                      |                                                              |
| Plot            | <ul style="list-style-type: none"> <li>Line Chart, Bar chart, etc.</li> </ul>                                                                |                                                        | <ul style="list-style-type: none"> <li>Boxplot, Violinplot</li> </ul>                      |                                                              |
| Benefits        | <ul style="list-style-type: none"> <li>Generalizeable</li> <li>Can draw more conclusions</li> </ul>                                          |                                                        | <ul style="list-style-type: none"> <li>Simpler</li> <li>Robust against outliers</li> </ul> |                                                              |

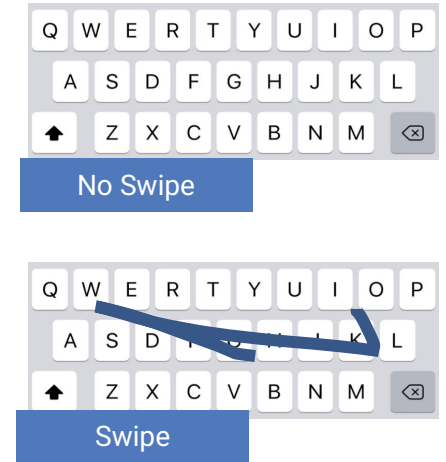
# Statistical Test Checklist

- **Which test is the correct for my design?** Depends on
  1. **The number** of dependent variables in my hypothesis: ?
  2. **The kind** of dependent variables: ?
  3. **The number** of independent variables: ?
  4. **The kind** of independent variables: ?
  5. **The levels** of the independent variable: : ?
  6. Are the independent variable **between/within-subjects/both**: ?
  7. **Is the DV really parametric data**: ?

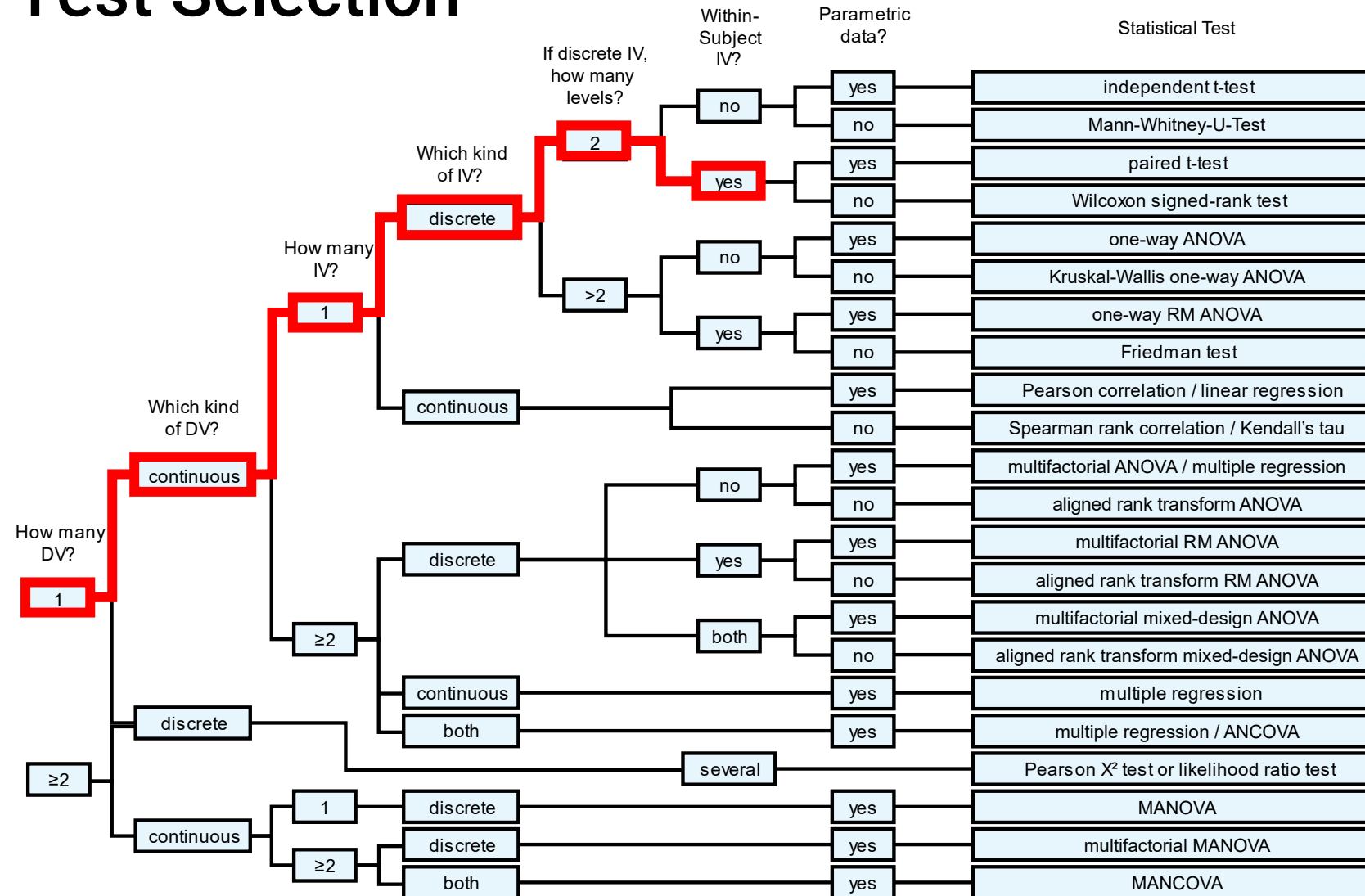


# Statistical Test Checklist

- Which test is the correct for my design? Depends on
  1. The number of dependent variables in my hypothesis: **WPM → 1**
  2. The kind of dependent variables: **words per minute → continuous**
  3. The number of independent variables: **Keyboard → 1**
  4. The kind of independent variables: **Swipe, No Swipe → discrete**
  5. The levels of the independent variable: : **Swipe, No Swipe → 2**
  6. Are the independent variable **between/within-subjects/both**: **within**
  7. Is the DV really parametric data: **???**
  - **We have no idea unless we ran the study. There are different tests for parametric and non-parametric data!**



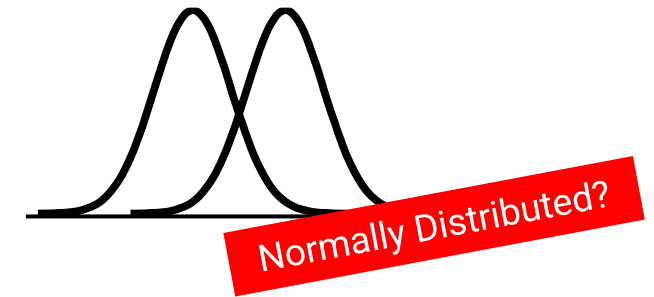
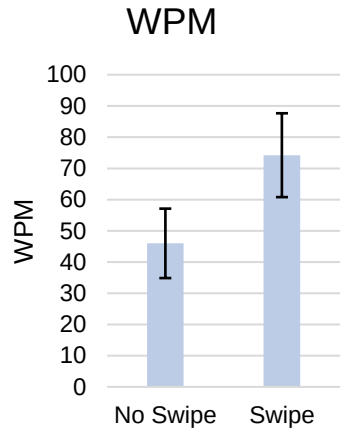
# Test Selection



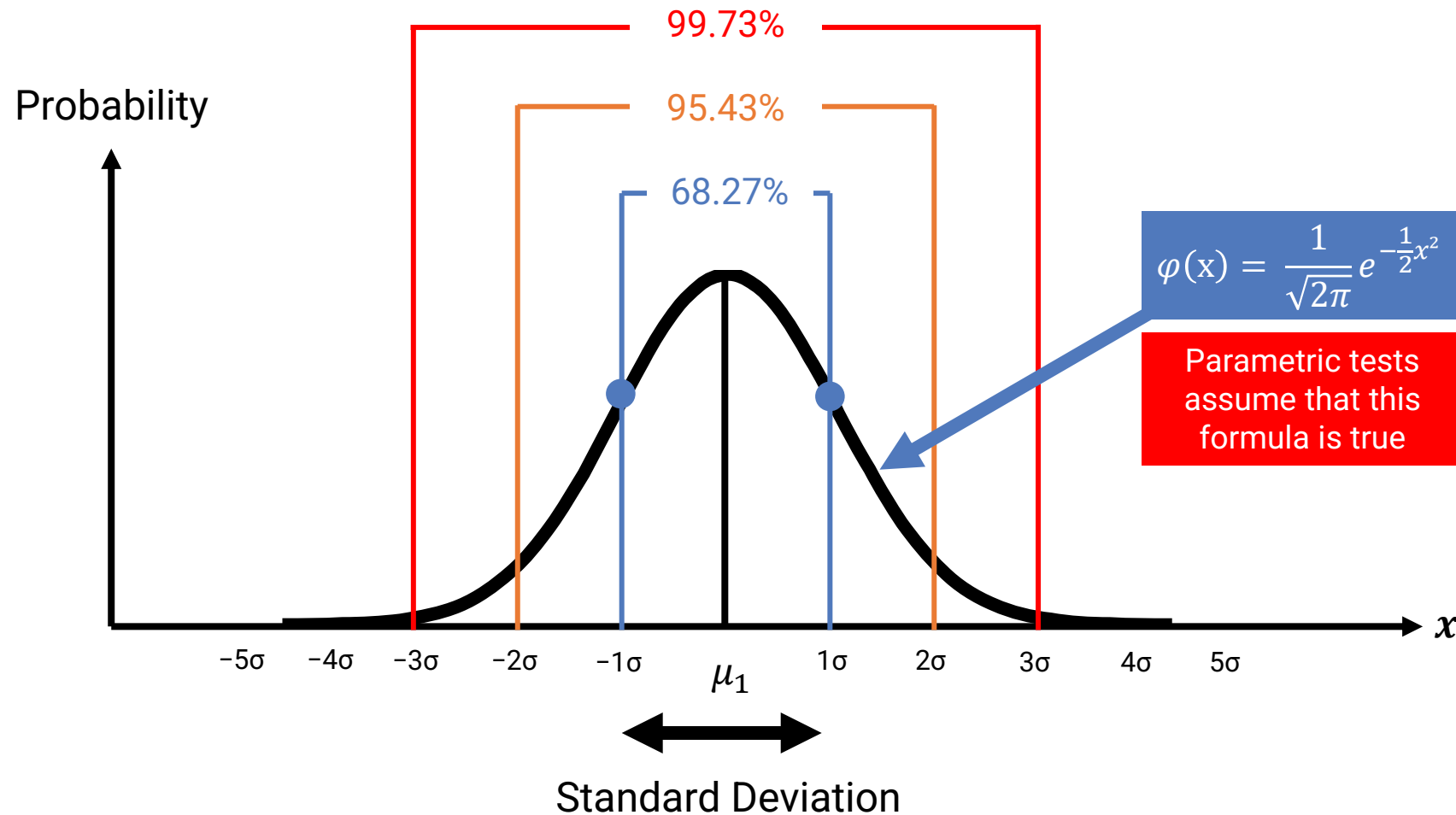
No Swipe



Swipe

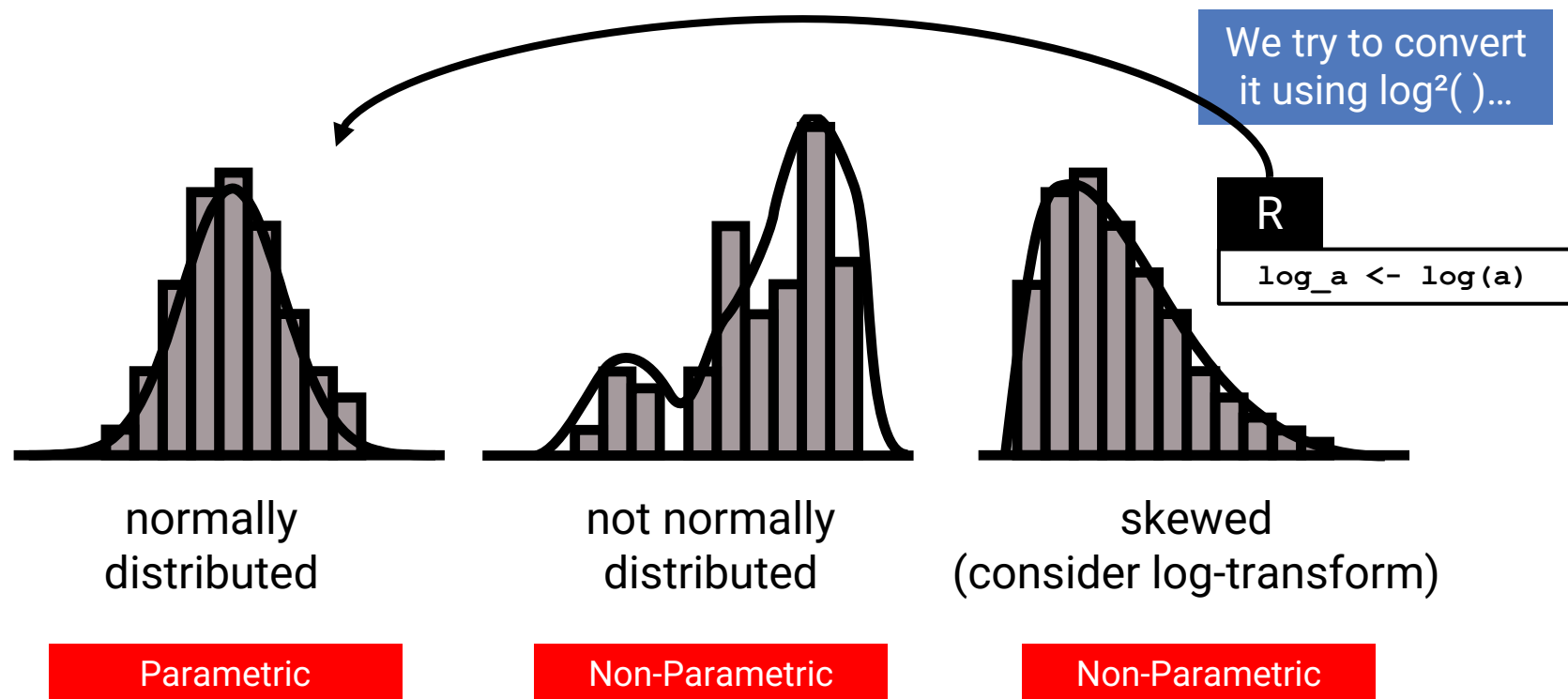


# Parametric Data = Normal Distributed Data





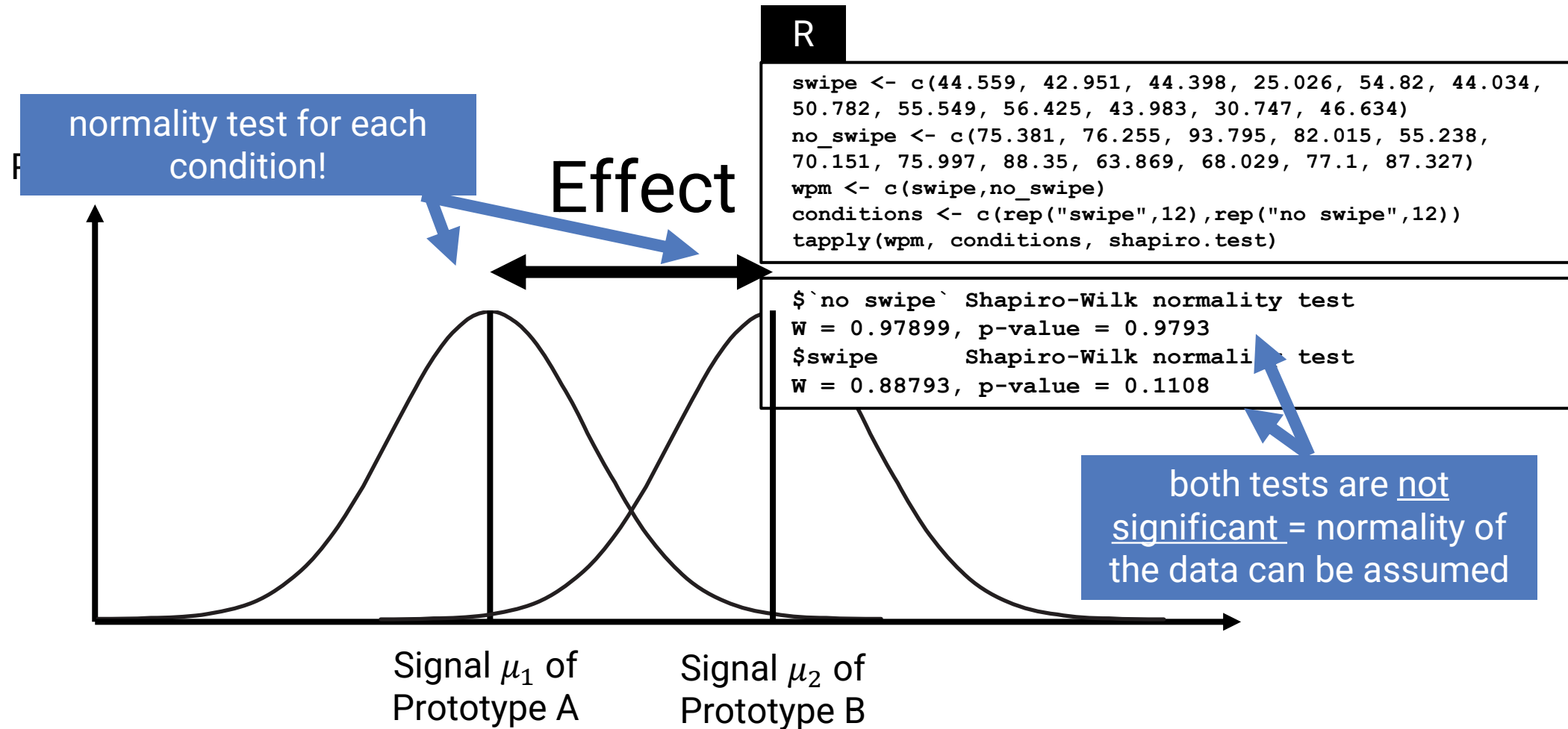
# Normality



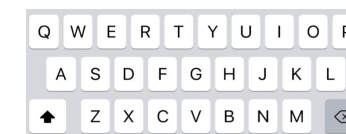
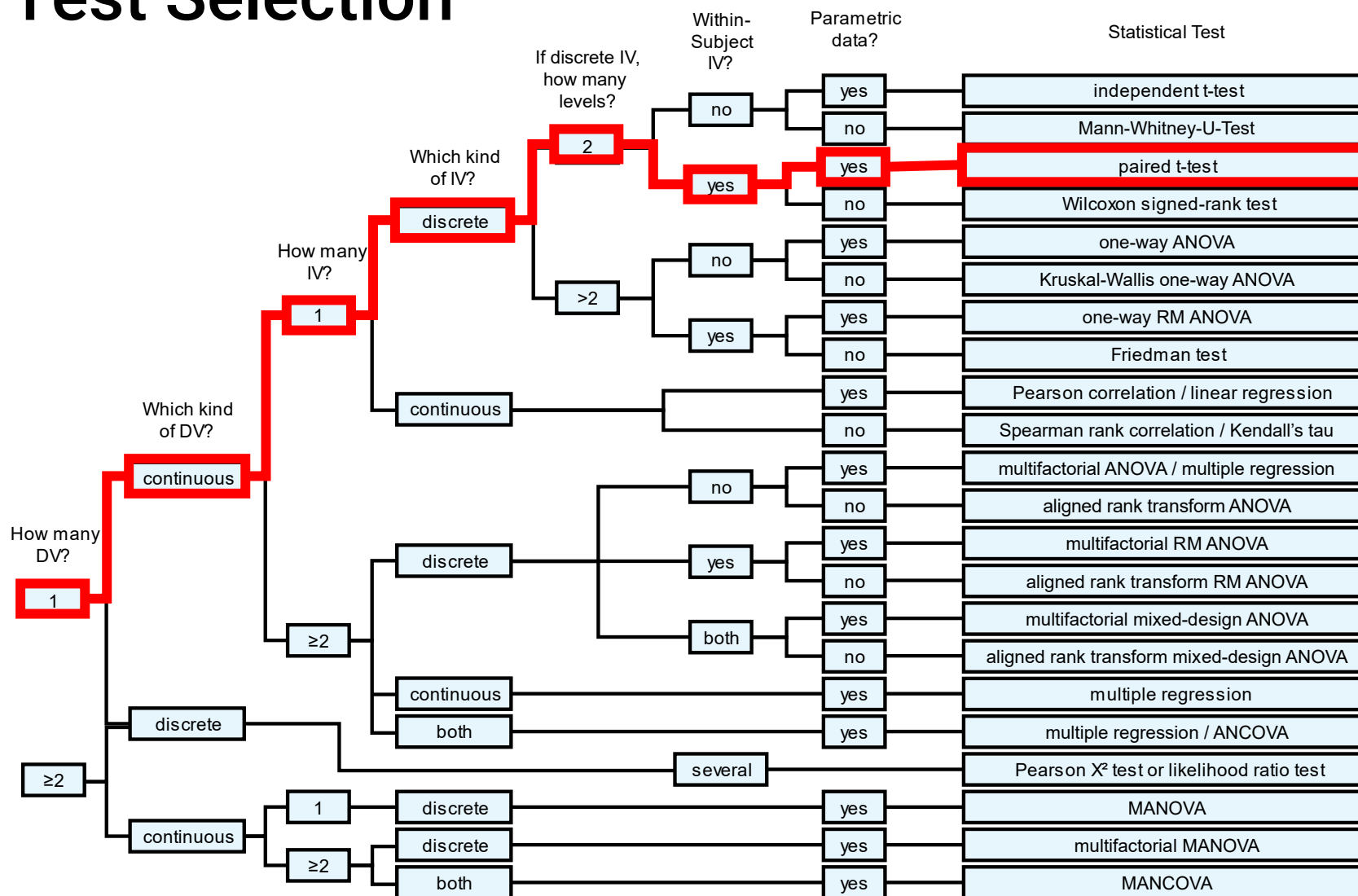
# Normality Test

- **Many statistical tests** such as correlation, regression, t-test, and analysis of variance (ANOVA) **require** the data to follow a **normal distribution** or Gaussian distribution
  - › These tests are called **parametric tests**, because their validity depends on the distribution of **parametric data**
- Before using a parametric test, **we must perform a test on normal distribution** to make sure that the test assumptions are met
  - › **If not, non-parametric tests are needed**
    - › There are non-parametric test, but not for every study design
    - › Parametric tests allow less conclusions (e.g., on the significance of absolute values)

# Shapiro-Wilk Normality Test



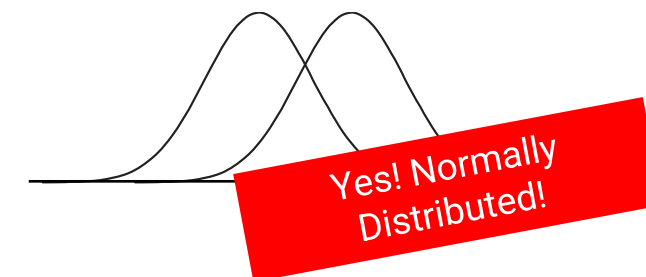
# Test Selection



## No Swipe



## Swipe



# Paired t-Test

- also called „dependent t-test“

R

```
t.test(swipe, no_swipe, paired = TRUE)

Paired t-test

data: swipe and no_swipe
t = -6.5882, df = 11, p-value = 3.926e-05
alternative hypothesis: true difference in means is not equal to 0
```

Yes! Statistically significant!

- is:  $p < \alpha$  ?
  - › **yes**:  $p = 3.926 \cdot 10^{-05} < 0.05 < 0.001$
  - › The null hypothesis („that no statistical significant effect occurred“) can be rejected (we like that)
- What is „t“? What is „df“?
  - › **t = the test-statistic**: the difference presented in units of the standard errors (the higher, the better)
  - › **df = N - 1**: the degree of freedom

| #  | No Swipe | Swipe  |
|----|----------|--------|
| 1  | 44.559   | 75.381 |
| 2  | 42.951   | 76.255 |
| 3  | 44.398   | 93.795 |
| 4  | 25.026   | 82.015 |
| 5  | 54.82    | 55.238 |
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# Descriptive and Inferential Statistics: Text, Plot, and Table

- „The average WPM without Swipe was  $M = 44.992$  ( $SD = 9.454$ ) while the average WPM using Swipe was  $M = 76.126$  ( $SD = 10.917$ ). A paired  $t$ -test revealed that the difference between them was statistically significant,  $t(7) = -6.589$ ,  $p < .001$ .“

Text

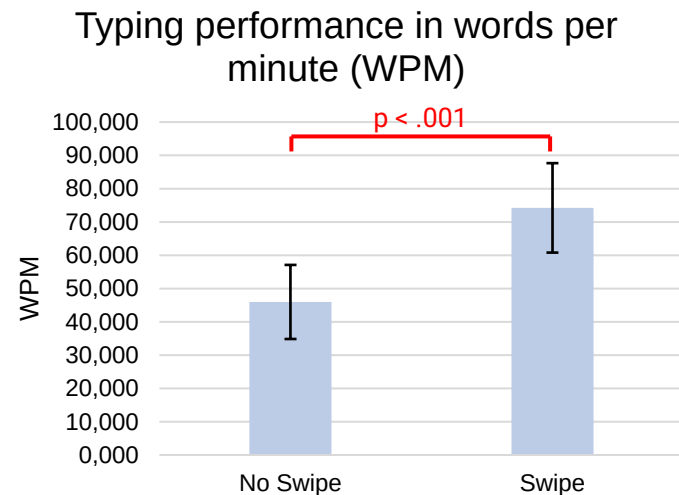


Figure 3: Average typing speeds of Swipe and typing without. Error bars show standard deviation.

Plot

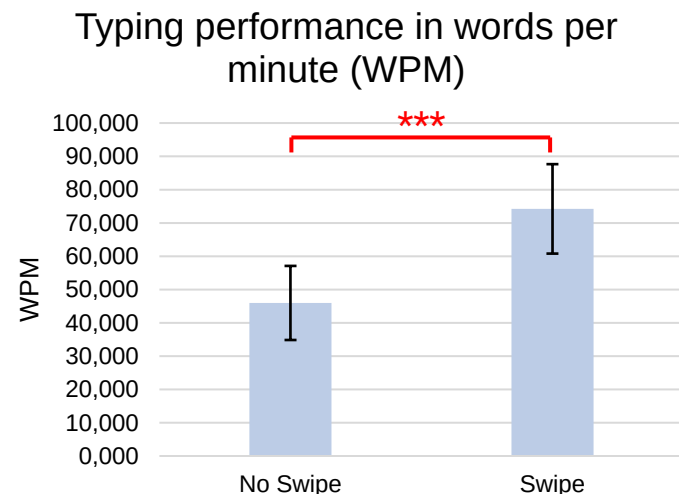
| Pairwise Comparison | t(13)  | p      |
|---------------------|--------|--------|
| No Swipe - Swipe    | -6.589 | < .001 |

Table makes sense when you have more than one comparison...

# Descriptive and Inferential Statistics: Text, Plot, and Table

- „The average WPM without Swipe was  $M = 44.992$  ( $SD = 9.454$ ) while the average WPM using Swipe was  $M = 76.126$  ( $SD = 10.917$ ). A paired  $t$ -test revealed that the difference between them was statistically significant,  $t(11) = -6.589$ ,  $p < .001$ .“

Text



Asterisk Interpretation

ns → not sig.  
\* →  $p < .05$   
\*\* →  $p < .01$   
\*\*\* →  $p < .001$

| Pairwise Comparison | t(11)  | p      |
|---------------------|--------|--------|
| No Swipe - Swipe    | -6.589 | < .001 |

Table makes sense when you have more than one comparison...

Figure 3: Average typing speeds of Swipe and typing without. Error bars show standard deviation.

Plot





<https://pxhere.com/de/photo/1582787>

But...



# Statistically significant – but also important?

- A significant difference does not tell much about how “large” the effect is
- Thus, **we must also determine the effect size**
  - › Tells us which extent of the difference is caused by our manipulation
    - › In our case: **Cohen’s  $d$**

$$d = \frac{M_1 - M_2}{SD} \quad SD = \sqrt{\frac{SD_1^2 + SD_2^2}{2}}$$

- › Widely accepted interpretations are:

$|d| < 0.20$  (negligable),  $|d| > 0.20$  (small),  $|d| > 0.50$  (medium),  $|d| > 0.80$  (large)

**R**

```
(mean(swipe) - mean(no_swipe)) / sqrt((sd(swipe)^2 + sd(no_swipe)^2) / 2)
```

- › Effect size in our example:  $d = -3.049$  (*large*)

# Descriptive and Inferential Statistics: Text, Plot, and Table

- „The average WPM without Swipe was  $M = 44.992$  ( $SD = 9.454$ ) while the average WPM using Swipe was  $M = 76.126$  ( $SD = 10.917$ ). A paired  $t$ -test revealed that the difference between them was statistically significant,  $t(11) = -6.589$ ,  $p < .001$ , *and had a large effect size ( $d = -3.049$ )*.“

Text

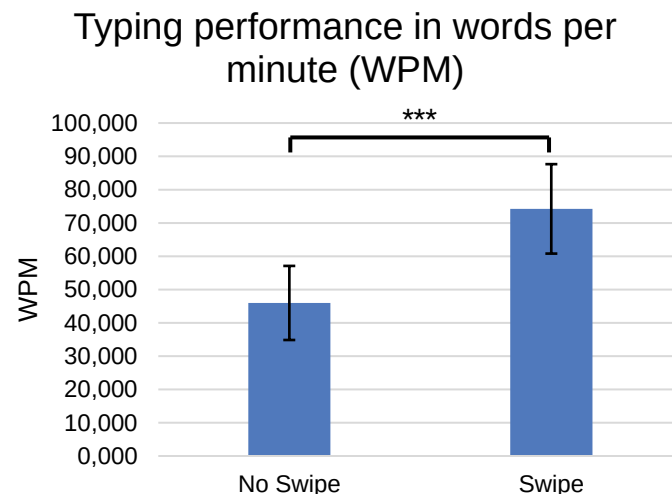


Figure 3: Average typing speeds of Swipe and typing without. Error bars show standard deviation.

| Pairwise Comparison | t(13)  | p      | d      |
|---------------------|--------|--------|--------|
| No Swipe - Swipe    | -6.589 | < .001 | -3.049 |

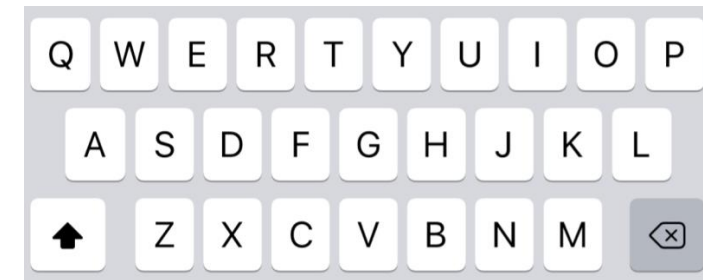
Table makes sense when you have more than one comparison...

Plot

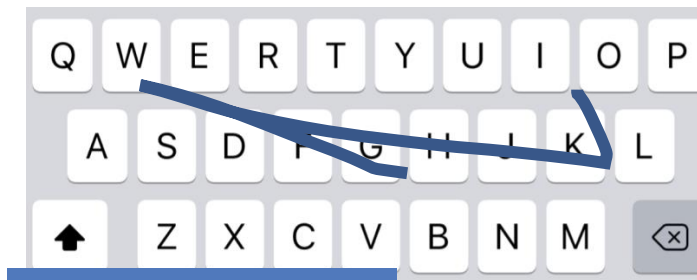


<https://pxhere.com/de/photo/781480>

# The Number of Tests



No Swipe



Swipe



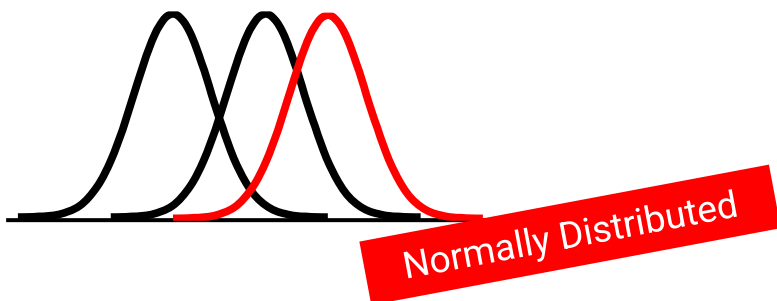
VibroSwipe

# Adding a third condition...

R

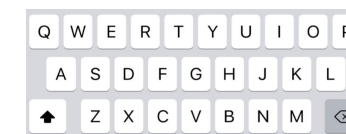
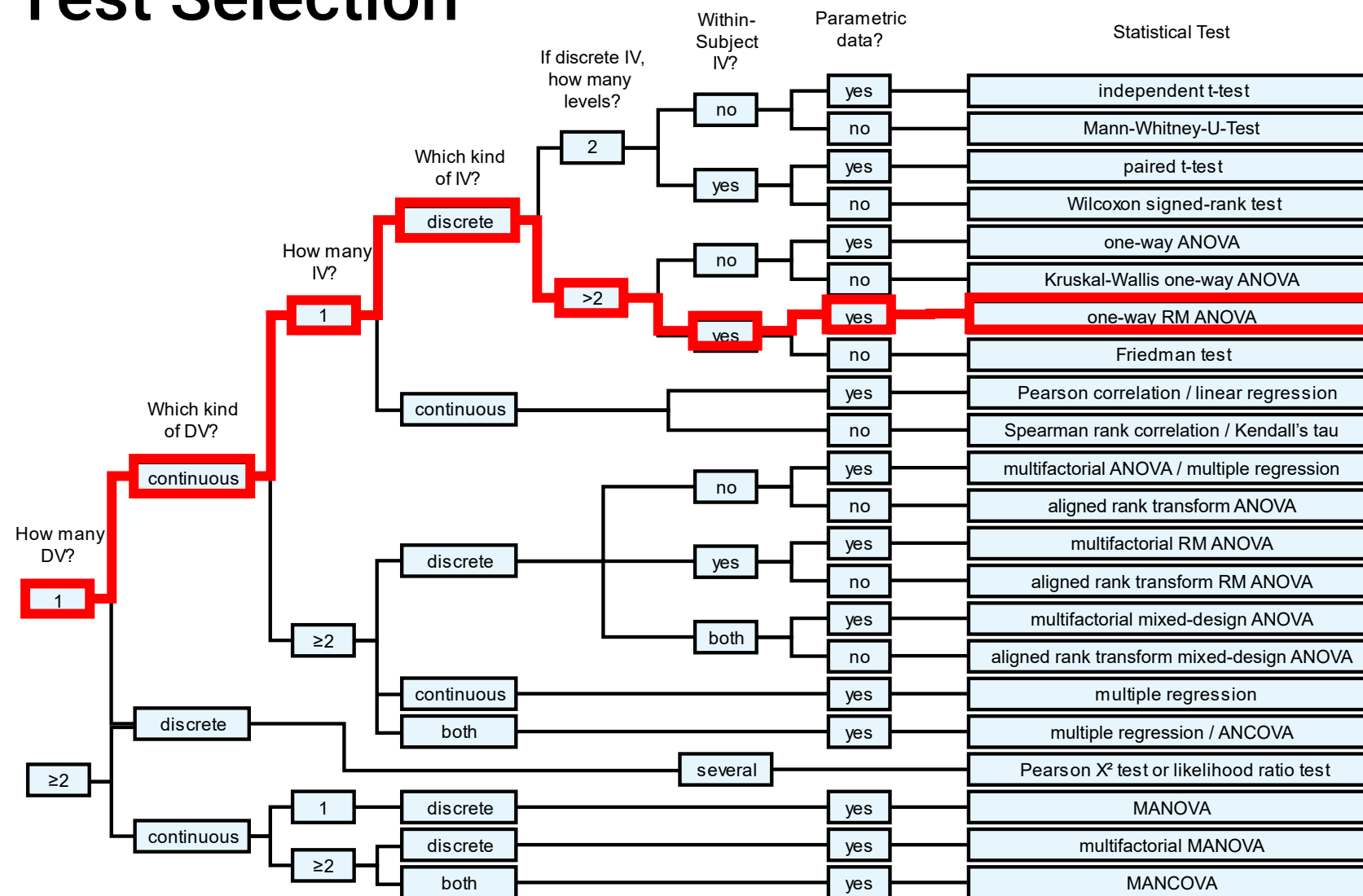
```
swipe <- c(44.559, 42.951, 44.398, 25.026, 54.82, 44.034, 50.782, 55.549, 56.425,
43.983, 30.747, 46.634)
no_swipe <- c(75.381, 76.255, 93.795, 82.015, 55.238, 70.151, 75.997, 88.35, 63.869,
68.029, 77.1, 87.327)
vibro_swipe <- c(88.695, 95.79, 75.601, 95.237, 94.21, 58.038, 73.444, 53.036,
83.062, 71.641, 72.46, 75.809)
wpm <- c(swipe, no_swipe, vibro_swipe)
conditions <- c(rep("No Swipe",12), rep("Swipe",12), rep("Vibro Swipe",12))
tapply(wpm, conditions, shapiro.test)
```

```
$`no swipe` Shapiro-Wilk normality test
W = 0.88793, p-value = 0.1108
$Swipe Shapiro-Wilk normality test
W = 0.97899, p-value = 0.9793
$`Vibro Swipe` Shapiro-Wilk normality test
W = 0.92701, p-value = 0.3495
```



| #  | No Swipe | Swipe  | VibroSwipe |
|----|----------|--------|------------|
| 1  | 44.559   | 75.381 | 88.695     |
| 2  | 42.951   | 76.255 | 95.79      |
| 3  | 44.398   | 93.795 | 75.601     |
| 4  | 25.026   | 82.015 | 95.237     |
| 5  | 54.82    | 55.238 | 94.21      |
| 6  | 44.034   | 70.151 | 58.038     |
| 7  | 50.782   | 75.997 | 73.444     |
| 8  | 55.549   | 88.35  | 53.036     |
| 9  | 56.425   | 63.869 | 83.062     |
| 10 | 43.983   | 68.029 | 71.641     |
| 11 | 30.747   | 77.1   | 72.46      |
| 12 | 46.634   | 87.327 | 75.809     |

# Test Selection



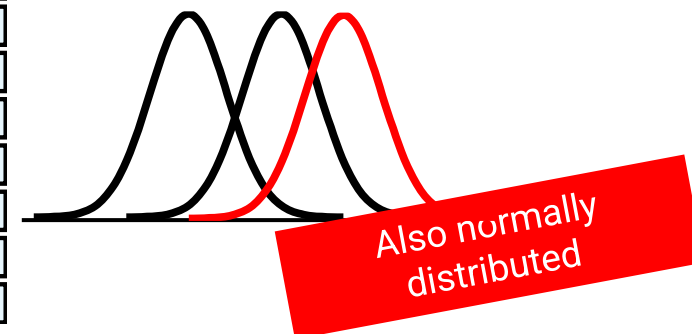
## No Swipe



## Swipe



## VibroSwipe



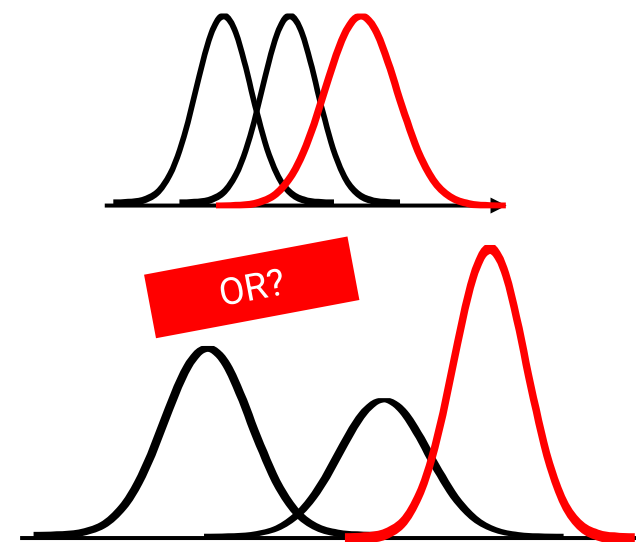


# One-Way RM-ANOVA

- **One-Way** = **One Factor** (your keyboard)
- **RM** = **Repeated Measures** (every participant was exposed to every condition)
- **ANOVA** = **Analysis of Variance** (the most used statistical test in the world)
- RM-ANOVAs must first ask for: „Are the variances of the differences between the within-subject conditions are equal?“
  - › If yes: „sphericity“ can be assumed  
→ you can proceed
  - › If not: no „sphericity“ can be assumed  
→ you need a kind of correction, to prevent an inflation of the F-ratio (the quality of how well the model fits the data)

# WTF? Sphericity?

| #         | No Swipe | Swipe  | VibroSwipe | A-B     | A-C     | B-C     |
|-----------|----------|--------|------------|---------|---------|---------|
| 1         | 44.559   | 75.381 | 88.695     | 44.559  | 75.381  | 88.695  |
| 2         | 42.951   | 76.255 | 95.79      | 42.951  | 76.255  | 95.79   |
| 3         | 44.398   | 93.795 | 75.601     | 44.398  | 93.795  | 75.601  |
| 4         | 25.026   | 82.015 | 95.237     | 25.026  | 82.015  | 95.237  |
| 5         | 54.82    | 55.238 | 94.21      | 54.82   | 55.238  | 94.21   |
| 6         | 44.034   | 70.151 | 58.038     | 44.034  | 70.151  | 58.038  |
| 7         | 50.782   | 75.997 | 73.444     | 50.782  | 75.997  | 73.444  |
| 8         | 55.549   | 88.35  | 53.036     | 55.549  | 88.35   | 53.036  |
| 9         | 56.425   | 63.869 | 83.062     | 56.425  | 63.869  | 83.062  |
| 10        | 43.983   | 68.029 | 71.641     | 43.983  | 68.029  | 71.641  |
| 11        | 30.747   | 77.1   | 72.46      | 30.747  | 77.1    | 72.46   |
| 12        | 46.634   | 87.327 | 75.809     | 46.634  | 87.327  | 75.809  |
| Variances |          |        |            | 267,975 | 348,607 | 406,672 |



**Comparable?**



# Creating a Table („data frame“) with Subject IDs...

R

```
swipe <- c(44.559, 42.951, 44.398, 25.026, 54.82, 44.034, 50.782, 55.549, 56.425, 43.983, 30.747, 46.634)
no_swipe <- c(75.381, 76.255, 93.795, 82.015, 55.238, 70.151, 75.997, 88.35, 63.869, 68.029, 77.1, 87.327)
vibro_swipe <- c(88.695, 95.79, 75.601, 95.237, 94.21, 58.038, 73.444, 53.036, 83.062, 71.641, 72.46, 75.809)
wpm <- c(swipe, no_swipe, vibro_swipe)
keyboard <- c(rep("No Swipe",12), rep("Swipe",12), rep("Vibro Swipe",12))

normality check
tapply(wpm, keyboard, shapiro.test)

to perform an RM-ANOVA, we need subject IDs (typically we have already a CSV with subject IDs)
subjectID <- rep(seq(12, length=12), times=3)

create the table / data frame with subject IDs and conditions as fixed factors
df <- data.frame(subjectID = as.factor(subjectID), conditions= as.factor(keyboard), wpm)

if not installed add: install.packages("rstatix")
library(rstatix) # load the library

run the ANOVA
anova <- anova_test(data = df, dv = wpm, wid = subjectID, within = keyboard, effect.size = "pes")

automatically does the sphericity correction if necessary (we like that)
get_anova_table(anova, correction = "auto")
```

**Please note:**  
the data frame  
(df) has now this  
strange „long“  
format



| subjectID | keyboard | wpm    |
|-----------|----------|--------|
| <fct>     | <fct>    | <dbl>  |
| 1         | swipe    | 75.381 |
| 1         | noswipe  | 86.255 |
| 2         | noswipe  | 93.795 |
| 2         | swipe    | 61.435 |

# Reporting the RM-ANOVA Output

- RM-ANOVA Output

- › Sphericity can be assumed, because otherwise the output would look a bit different...

|   | Effect   | DFn | DFd | F      | p        | p<.05 | pes   |
|---|----------|-----|-----|--------|----------|-------|-------|
| 1 | keyboard | 2   | 22  | 24.225 | 2.73e-06 | *     | 0.688 |

- › „A one-way RM-ANOVA revealed a significant effect of the three KEYBOARDS on the WPM measure,  $F( , ) = , p , \eta_p^2 = .$ “

# Reporting the RM-ANOVA Output

- RM-ANOVA Output

- › Sphericity can be assumed, because otherwise the output would look a bit different...

|   | Effect     | DFn | DFd | F      | p        | p<.05 | pes   |
|---|------------|-----|-----|--------|----------|-------|-------|
| 1 | conditions | 2   | 22  | 24.225 | 2.73e-06 | *     | 0.688 |

- › „A one-way RM-ANOVA revealed a significant effect of the three KEYBOARDS on the WPM measure,  $F(2, 22) = 24.225, p < .001, \eta_p^2 = 0.688$ .“

# Reporting the RM-ANOVA Output

- RM-ANOVA Output

- › Sphericity can be assumed, because otherwise the output would look a bit different...

|   | Effect   | DFn | DFd | F      | p        | p<.05 | pes   |
|---|----------|-----|-----|--------|----------|-------|-------|
| 1 | keyboard | 2   | 22  | 24.225 | 2.73e-06 | *     | 0.688 |

- › „A one-way RM-ANOVA revealed a significant effect of the three KEYBOARDS on the WPM measure,  $F(2, 22) = 24.225$ ,  $p < .001$ ,  $\eta_p^2 = 0.688$ .“

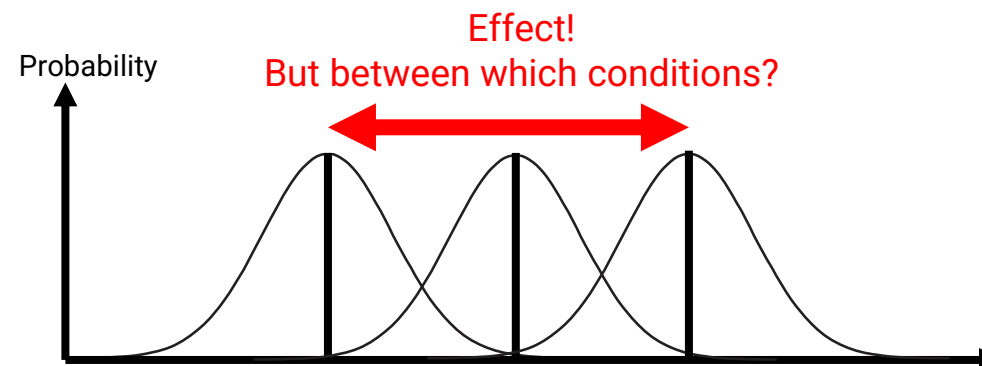
# Reporting the RM-ANOVA Output

- RM-ANOVA Output

- Sphericity can be assumed, because otherwise the output would look a bit different...

|   | Effect     | DFn | DFd | F      | p        | p<.05 | pes   |
|---|------------|-----|-----|--------|----------|-------|-------|
| 1 | conditions | 2   | 22  | 24.225 | 2.73e-06 | *     | 0.688 |

- „A one-way RM-ANOVA revealed a significant effect of the three KEYBOARDS on the WPM measure,  $F(2, 22) = 24.225$ ,  $p < .001$ ,  $\eta_p^2 = 0.688$ .“



# Post Hoc Analysis

- When the ANOVA revealed an effect, we can run post hoc analysis to show between which conditions significant differences exists
  - › (We must still consider if we need parametrical or nonparametrical tests)
  - › Parametrical data: paired t-tests
  - › Nonparametrical data: paired Wilcoxon signed-rank tests

R

```
pairwise.t.test(wpm, conditions, paired = TRUE)
```

**BIG PROBLEM!!!**

# The Number of Tests



# Familywise Error Rate (FWER)

- **Too many tests** (because of too many conditions) **increase the probability of Type I errors**. An estimation of the FWER is:

$$F \leq 1 - (1 - \alpha)^c$$

- $\alpha$  = alpha level for an individual test (e.g., 0.05),  $c$  = number of tests

- For example:

- with an alpha level of 5% and a series of **3 tests**, the FWER is:

$$F = 1 - (1 - 0.05)^3 = .142 = 14\%$$

- with an alpha level of 5% and a series of **10 tests**, the FWER is:

$$F = 1 - (1 - 0.05)^{10} = .401 = 40\%$$

- very high



# Statisticians must correct this....

- **Bonferroni correction:** „Divide the alpha level by the number of tests you're running and apply that alpha level to each individual test.”
  - › e.g., if your  $\alpha = .05$  and you are running e.g., 3 tests (because of three conditions), then each test will have an alpha level of  $\frac{0.05}{3} = 0.017$
  - › Statistical tests auto-apply the new alpha level to each test for finding p-values
    - › In this example, the p-value would have to be 0.017 and decreased statistical significance

R

```
pairwise.t.test(wpm, conditions, p.adj = "bonf", paired = TRUE)
```

multiple tests means: we need  
Bonferroni correct p-value adjustment

# Reporting the RM-ANOVA and Post hoc test

- Post hoc Output

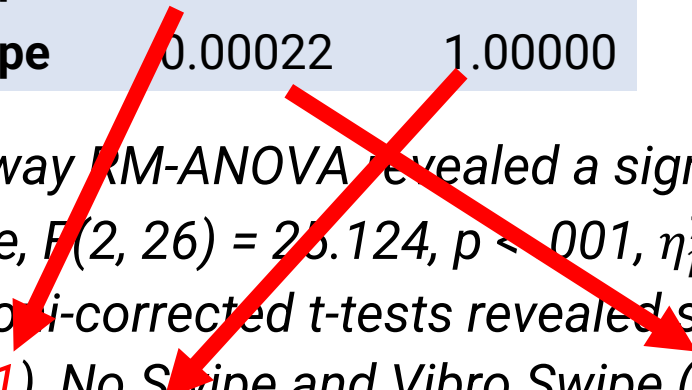
|             | No Swipe | Swipe   |
|-------------|----------|---------|
| Swipe       | 0.00012  | -       |
| Vibro Swipe | 0.00022  | 1.00000 |

- › „A one-way RM-ANOVA revealed a significant effect of the three KEYBOARDS on the WPM measure,  $F(2, 26) = 25.124$ ,  $p < .001$ ,  $\eta_p^2 = 0.659$ . Pairwise post hoc comparisons using Bonferroni-corrected  $t$ -tests revealed significant differences between No Swipe and Swipe ( $p$  ), No Swipe and Vibro Swipe ( $p$  ), however, not between Swipe and Vibro Swipe ( $p$  ).“

# Reporting the RM-ANOVA and Post hoc test

- Post hoc Output

|             | No Swipe | Swipe   |
|-------------|----------|---------|
| Swipe       | 0.00012  | -       |
| Vibro Swipe | 0.00022  | 1.00000 |

- › „A one-way RM-ANOVA revealed a significant effect of the three KEYBOARDS on the WPM measure,  $F(2, 26) = 25.124$ ,  $p < .001$ ,  $\eta_p^2 = 0.659$ . Pairwise post hoc comparisons using Bonferroni-corrected t-tests revealed significant differences between No Swipe and Swipe ( $p < .001$ ), No Swipe and Vibro Swipe ( $p < .001$ ), however, not between Swipe and Vibro Swipe ( $p = 1.000$ ).“
- 

# Reporting the RM-ANOVA and Post hoc test

- Post hoc Output

|             | No Swipe | Swipe   |
|-------------|----------|---------|
| Swipe       | 0.00012  | -       |
| Vibro Swipe | 0.00022  | 1.00000 |

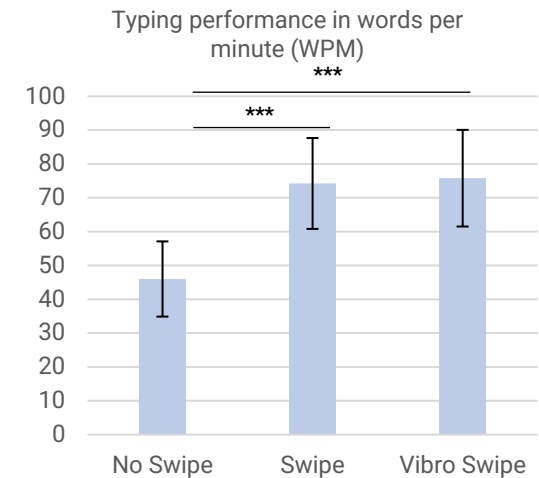
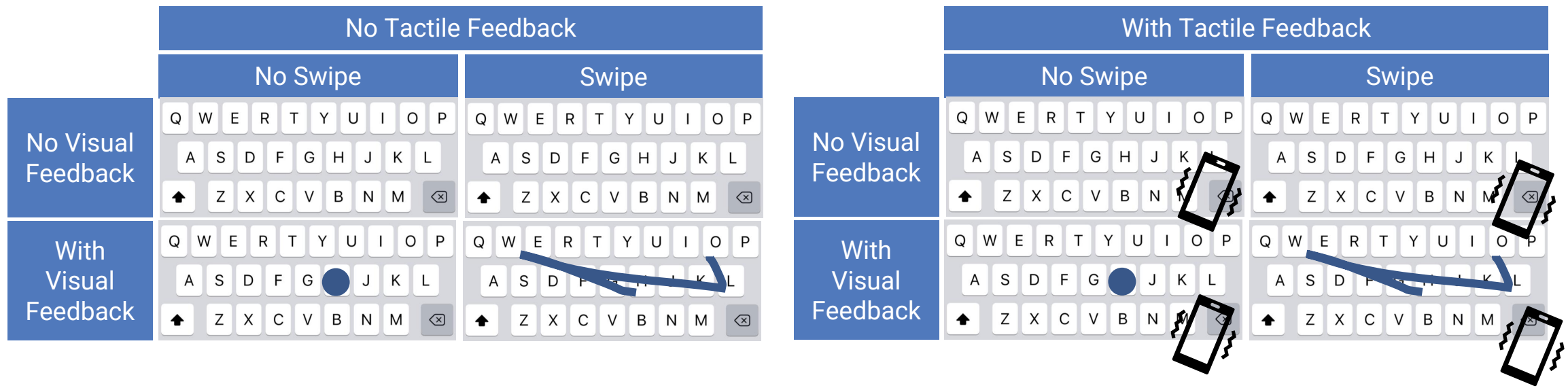


Figure 3: Average typing speeds without Swipe, with Swipe, and with VibroSwipe. Error bars show standard deviation.

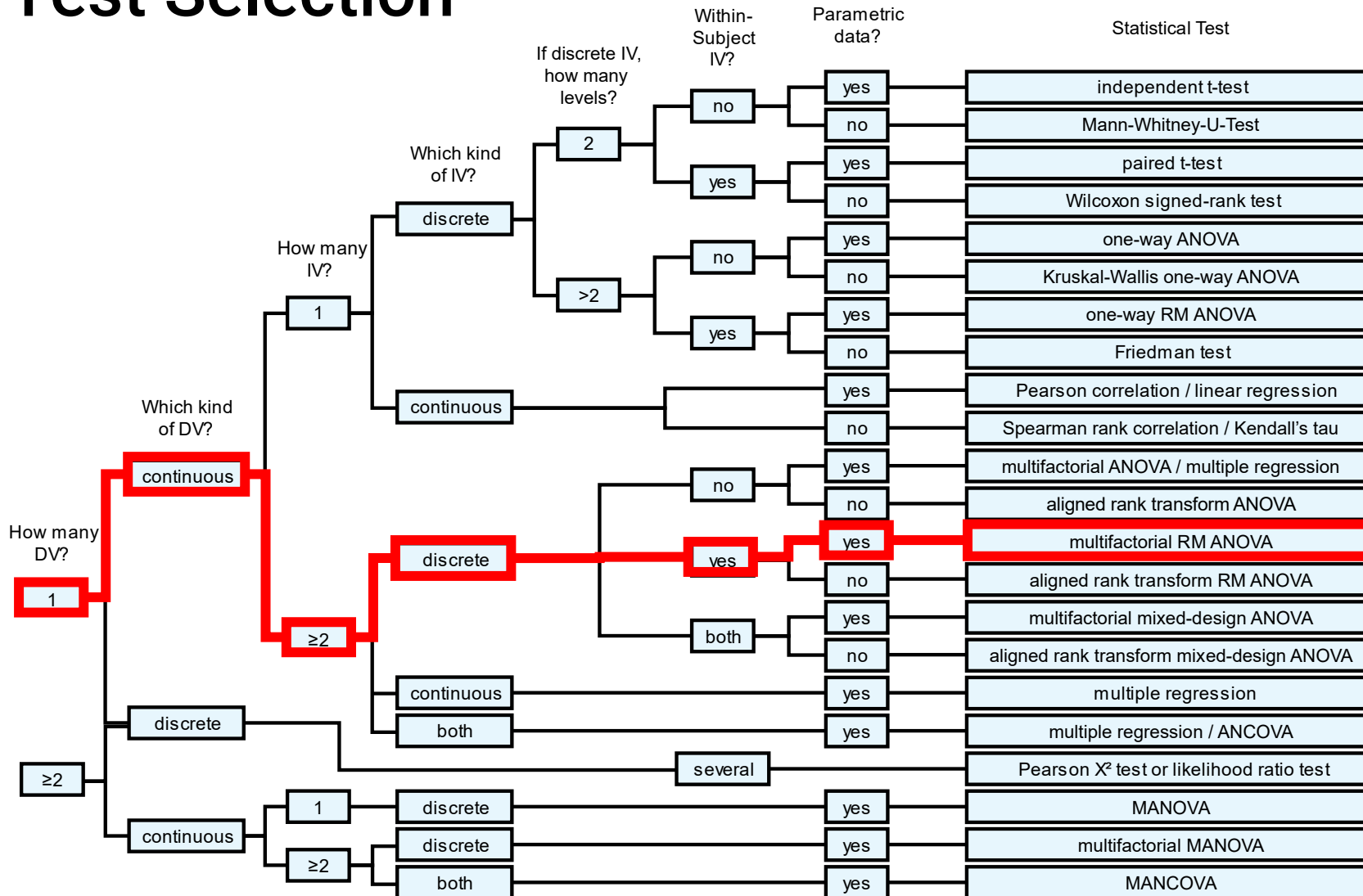
- „A one-way RM-ANOVA revealed a significant effect of the three KEYBOARDS on the WPM measure,  $F(2, 26) = 25.124$ ,  $p < .001$ ,  $\eta_p^2 = 0.659$ . Pairwise post hoc comparisons using Bonferroni-corrected  $t$ -tests revealed significant differences between No Swipe and Swipe ( $p < .001$ ), No Swipe and Vibro Swipe ( $p < .001$ ), however, not between Swipe and Vibro Swipe ( $p = 1.000$ ). Means and standard deviations are shown in Figure 3. Thus, the analysis indicates that typing with Swipe increases the performance, however, adding vibration feedback does not further support the users' typing speed with Swipe.“

# Multi-Factorial Study Design

- Next example: **SWIPE × VISUAL FEEDBACK × TACTILE FEEDBACK**
- 16 participants in a balanced Latin square
- What is the effect on typing performance? Can we evaluate this?



# Test Selection

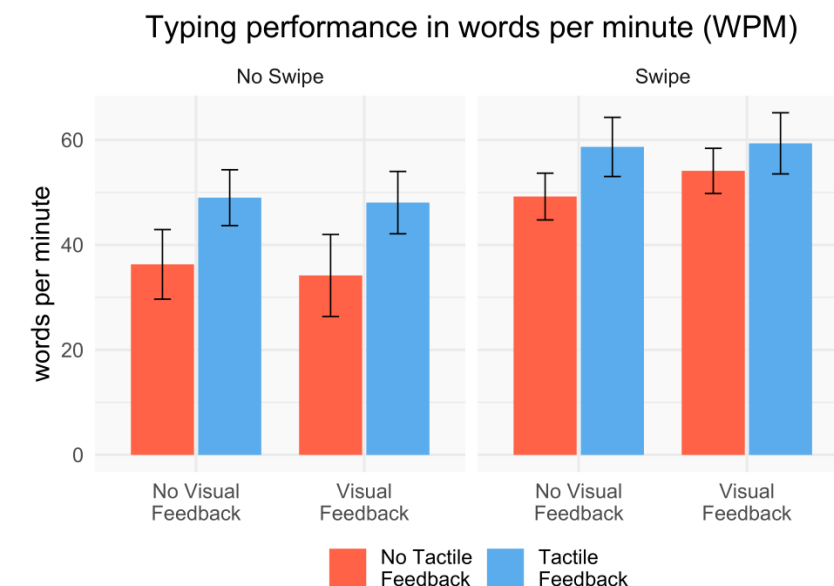


# Three-Way RM ANOVA

R

```
library(rstatix)
anova <- anova_test(data = df, dv = wpm, wid = subjectID, within = c(swipe, visual, tactile), effect.size = "pes")
get_anova_table(anova, correction = "auto")
```

| Effect | DFn                  | DFd      | F         | p            | p<.05           |          | pes          |
|--------|----------------------|----------|-----------|--------------|-----------------|----------|--------------|
| 1      | swipe                | 1        | 15        | 63.590       | 8.96e-07        | *        | 0.809        |
| 2      | visual               | 1        | 15        | 0.145        | 7.09e-01        |          | 0.010        |
| 3      | tactile              | 1        | 15        | 21.494       | 3.23e-04        | *        | 0.589        |
| 4      | swipe:visual         | 1        | 15        | 0.952        | 3.45e-01        |          | 0.060        |
| 5      | <b>swipe:tactile</b> | <b>1</b> | <b>15</b> | <b>7.227</b> | <b>1.70e-02</b> | <b>*</b> | <b>0.325</b> |
| 6      | visual:tactile       | 1        | 15        | 0.132        | 7.22e-01        |          | 0.009        |
| 7      | swipe:visual:tactile | 1        | 15        | 0.308        | 5.87e-01        |          | 0.020        |



- **Main Effect of Swipe. Main Effect of Tactile. No main effect of Visual.**
- **Interaction Effect: Swipe and Tactile combined increases the WPM!** Higher effect size: Swipe

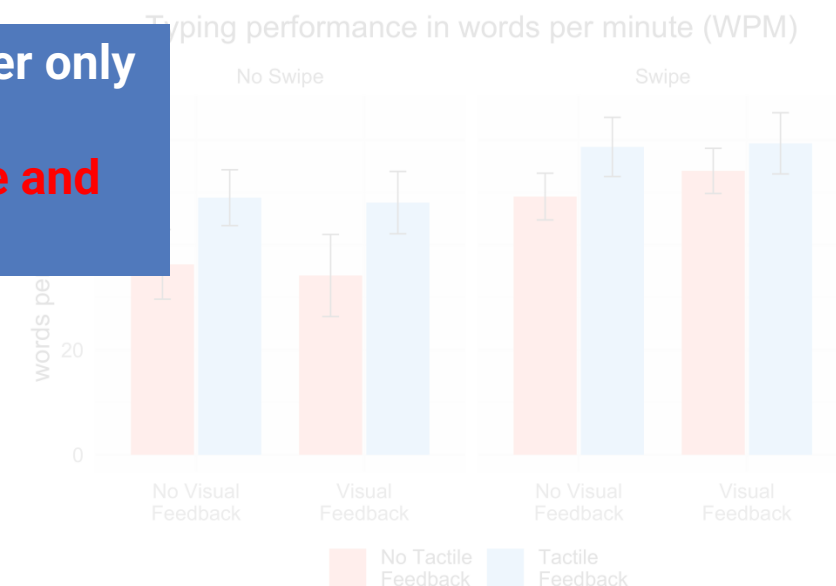
# Three-Way RM ANOVA

R

```
library(rstatix)
anova <- anova_test(data = df, dv = wpm, wid = subjectID, within = c(swipe, visual, tactile), effect.size = "pes")
get_anova_table(anova, correction = "auto")
```

| Effect | DFn                  | DFd |    |       |          |   |       |
|--------|----------------------|-----|----|-------|----------|---|-------|
| 1      | swipe                | 1   |    |       |          |   |       |
| 2      | visual               | 1   |    |       |          |   |       |
| 3      | tactile              | 1   |    |       |          |   |       |
| 4      | swipe:visual         | 1   | 15 | 0.952 | 3.45e-01 |   | 0.060 |
| 5      | swipe:tactile        | 1   | 15 | 7.227 | 1.70e-02 | * | 0.325 |
| 6      | visual:tactile       | 1   | 15 | 0.132 | 7.22e-01 |   | 0.009 |
| 7      | swipe:visual:tactile | 1   | 15 | 0.308 | 5.87e-01 |   | 0.020 |

Once you have your study design, you later only need to change the dependent variable!  
-Of course, you **check again for data type and normal distribution, etc.**



- Main Effect of Swipe. Main Effect of Tactile. No main effect of Visual.
- Interaction Effect: Swipe and Tactile combined increases the WPM!



- DV = dependent variable (your measure)
- IV = independent variable (your factor)
- RM = repeated measures (within)
- sig. = significant ( $p < .05$ )
- H1 = alternative hypothesis
- H0 = null hypothesis
- ⊙ = there is an option for Bayes' Statistics :)
- = regressions require visual inspection of homoscedasticity (Q-Q Plot)



The flowchart guides the selection of a statistical test based on the following criteria:

- Data Type:**
  - discrete:** Leads to a box listing "Nominal (e.g., gender, ZIP codes, animals, strings, types, categories, ...)".
  - continuous:** Leads to a box listing "Ordinal (e.g., marks in school, integers, ranks, single Likert items, counts, ...)", then "Interval (arbitrary zero: e.g., floats, distance, weight, IQ, temperature in C, ...)", and finally "Ratio (fixed zero: e.g., age, volume, temperature in K, scores, bandwidth,...)".
- Test Selection:**
  - From the discrete box, the flow goes to "Test for Normal Distribution (on each condition)".
  - From the continuous boxes, the flow goes to "Shapiro-Wilk".
- Significance Decision:**
  - From "Test for Normal Distribution (on each condition)", the flow asks "one or more sig.?".
    - If **yes**, it leads to the "yes" branch of the "Parametric?" decision.
    - If **no**, it leads to the "no" branch of the "Parametric?" decision.
  - From "Shapiro-Wilk", the flow asks "one or more sig.?".
    - If **yes**, it leads to the "yes" branch of the "Parametric?" decision.
    - If **no**, it leads to the "no" branch of the "Parametric?" decision.
- Final Outcome:**
  - The **yes** branch of the "Parametric?" decision leads to the box: "... there are many more (but more critical ones)".
  - The **no** branch of the "Parametric?" decision leads to the box: "Parametric?".

|                                       | small | medium | large |
|---------------------------------------|-------|--------|-------|
| Cohen's $d$                           | 0.20  | 0.50   | 0.80  |
| $r$ , Cohen's/Kendall's $\mathcal{W}$ | 0.10  | 0.30   | 0.50  |
| Cohen's $f$                           | 0.10  | 0.25   | 0.40  |
| Cohen's $f^2$                         | 0.02  | 0.15   | 0.35  |
| $\eta^2$                              | 0.02  | 0.13   | 0.26  |
| rank $\epsilon^2$ , partial $\eta^2$  | 0.01  | 0.06   | 0.14  |

|                                                    | weak | moderate | strong | perfect |
|----------------------------------------------------|------|----------|--------|---------|
| Pearson's $r, \rho, \tau, R^2, \text{std. } \beta$ | .20  | .50      | .80    | 1       |
| Cramer's $V$ (df=1)                                | .10  | .30      | .50    | 1       |
| Cramer's $V$ (df=2)                                | .07  | .21      | .35    | 1       |
| Cramer's $V$ (df=3)                                | .06  | .17      | .29    | 1       |

### Normal Distribution

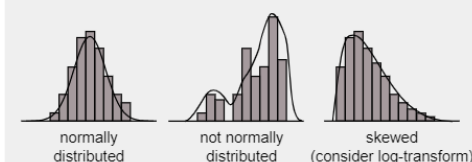


Figure 1 consists of four bar charts arranged horizontally, each representing a different significance level  $\alpha$ : 0.05, 0.1, 0.2, and 0.5. Each chart compares two methods, A and B, across three possible outcomes: 'reject H0', 'inconclusive', and 'reject H1'. The y-axis for all charts represents probability, ranging from 0 to 1.0. Error bars are present for each bar, indicating variability or confidence intervals.

| $\alpha$ | Outcome      | Method A | Method B |
|----------|--------------|----------|----------|
| 0.05     | reject H0    | ~0.85    | ~0.55    |
|          | inconclusive | ~0.85    | ~0.55    |
|          | reject H1    | ~0.85    | ~0.65    |
| 0.1      | reject H0    | ~0.85    | ~0.55    |
|          | inconclusive | ~0.85    | ~0.55    |
|          | reject H1    | ~0.85    | ~0.65    |
| 0.2      | reject H0    | ~0.85    | ~0.55    |
|          | inconclusive | ~0.85    | ~0.55    |
|          | reject H1    | ~0.85    | ~0.65    |
| 0.5      | reject H0    | ~0.85    | ~0.55    |
|          | inconclusive | ~0.85    | ~0.55    |
|          | reject H1    | ~0.85    | ~0.65    |

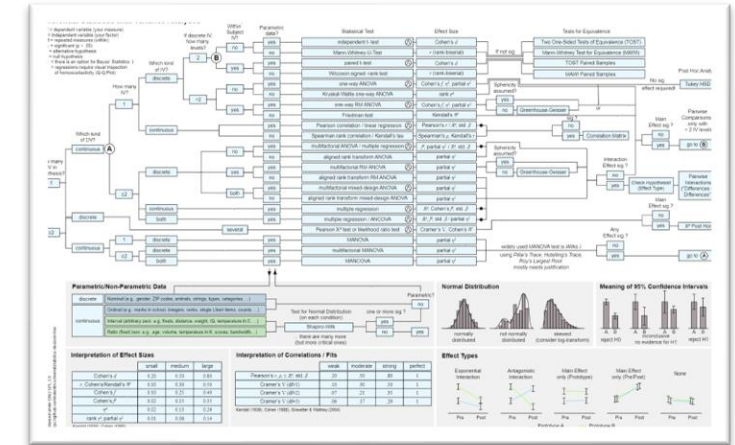
Figure 1 displays five line graphs illustrating different interaction patterns between Prototype A (blue line) and Prototype B (green line) across Pre and Post time points. The graphs are labeled: Exponential Interaction, Antagonistic Interaction, Main Effect only (Prototype), Main Effect only (Pre/Post), and None. The x-axis for all graphs shows Pre and Post time points. The y-axis represents a value, with error bars indicating variability.

- Exponential Interaction:** Both prototypes show a decrease from Pre to Post. Prototype B (green) decreases more steeply than Prototype A (blue).
- Antagonistic Interaction:** Prototype A (blue) increases from Pre to Post, while Prototype B (green) decreases from Pre to Post.
- Main Effect only (Prototype):** Both prototypes show a flat line, indicating no change from Pre to Post. Prototype A (blue) is consistently higher than Prototype B (green).
- Main Effect only (Pre/Post):** Both prototypes show an increase from Pre to Post. Prototype B (green) increases more steeply than Prototype A (blue).
- None:** Both prototypes show a flat line, indicating no change from Pre to Post. The two lines are nearly overlapping.

Legend: Prototype A (blue line), Prototype B (green line).

# Exercise: Find your Test

- When you want answer your research question with quantitative methods, use our statistical decision tree to find your test
  - › <https://hci-studies.org/> → Statistical Decision Tree
  - › The tree is the ultimate thing
- Discuss in your team:
  - › Which measures do you have?
  - › Which statistical test is the correct one for the measure?
  - › Write down all the tests (e.g., „Friedman test“)
  - › Google the tests (e.g., „Friedman test in R“)
    - › <https://www.datanovia.com/en/lessons/friedman-test-in-r/>
  - › If there is a result, you can be sure that you can evaluate your user study!



# What's next? – An Example

## ■ Precision vs Accuracy

- › Why is low accuracy better than low precision?
- › What can we do with that knowledge?

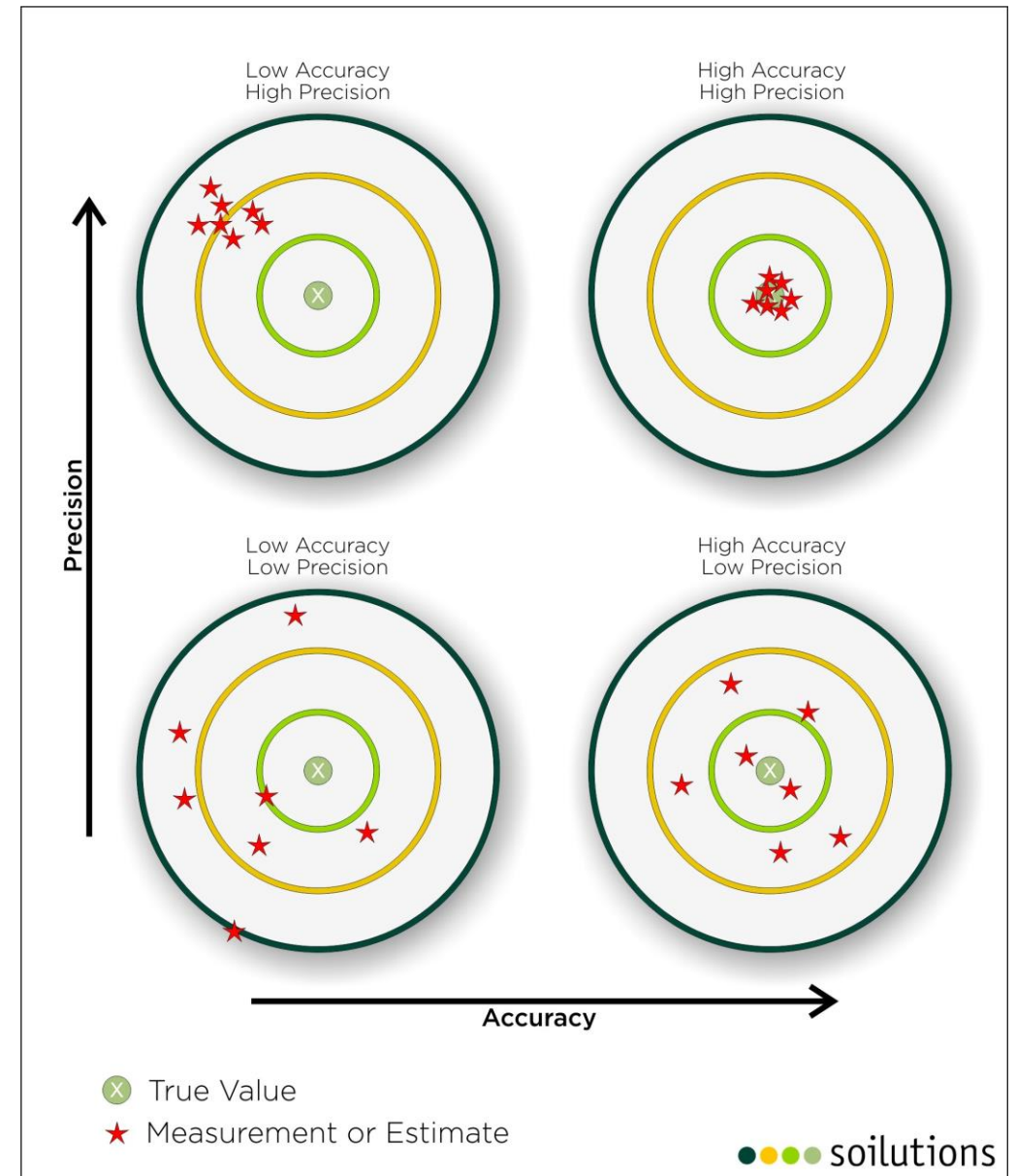


Image from: <https://www.soilutions.co.uk/tag/precision-verses-accuracy/>

**Did we forget something?**



# Evaluating Qualitative Data

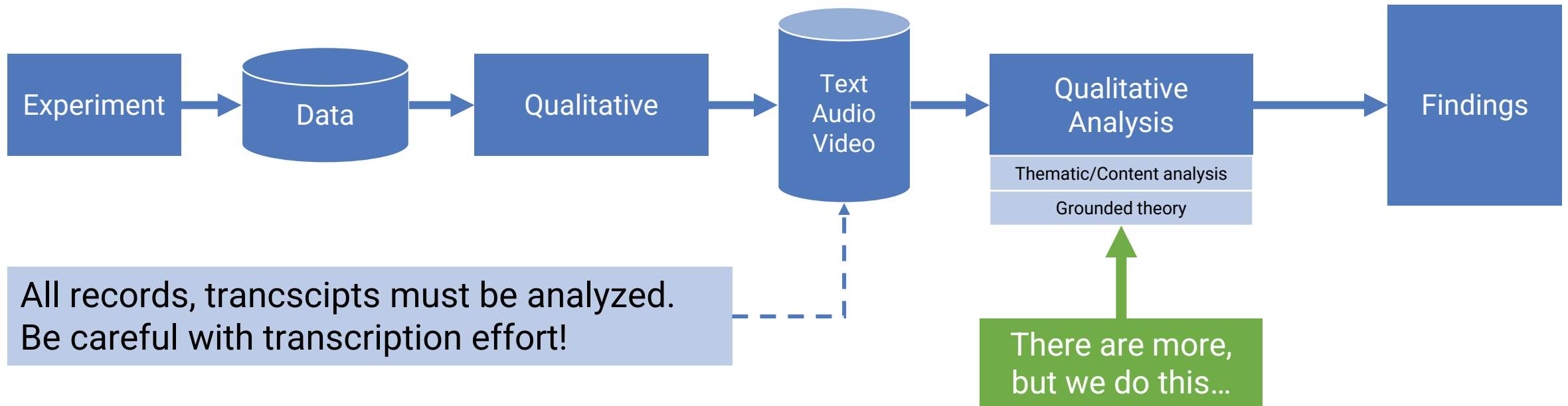
Human-Computer Interaction Exercise



# Qualitative Data

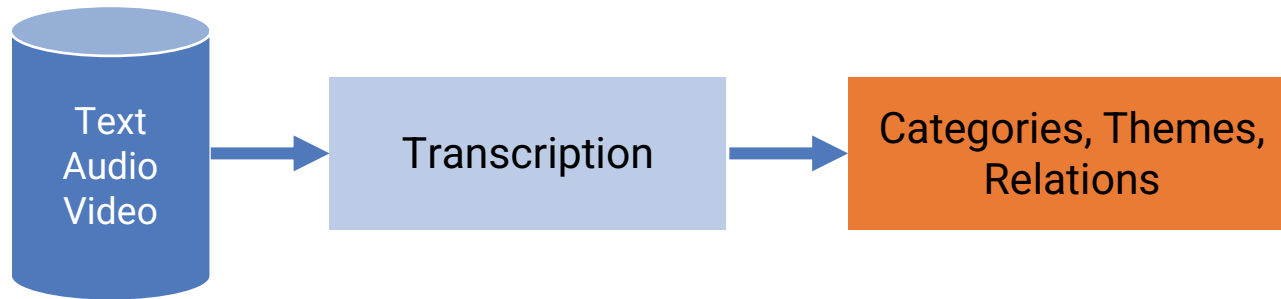
- Are **not based on hypotheses** and **cannot be tested with quantitative methods**
  - › You can „count“ stuff (e.g., opinions, answers, words, utterances, observations, ...) but this belongs to a quantitative evaluations or additionally include a **content analysis!**
- Contain
  - › **feedback**: perspectives, opinions, thoughts, subjective impressions, anticipations, ...
  - › **observations**: behavior, gestures, emotions, person-object or person-person relations,...
- Explain
  - › **why something happens**
  - › **what people think**
  - › **relationships in your data**
- Important: **the analysis does not discriminate how often (or rarely) cases in the data occur!**

# Qualitative Data Analysis

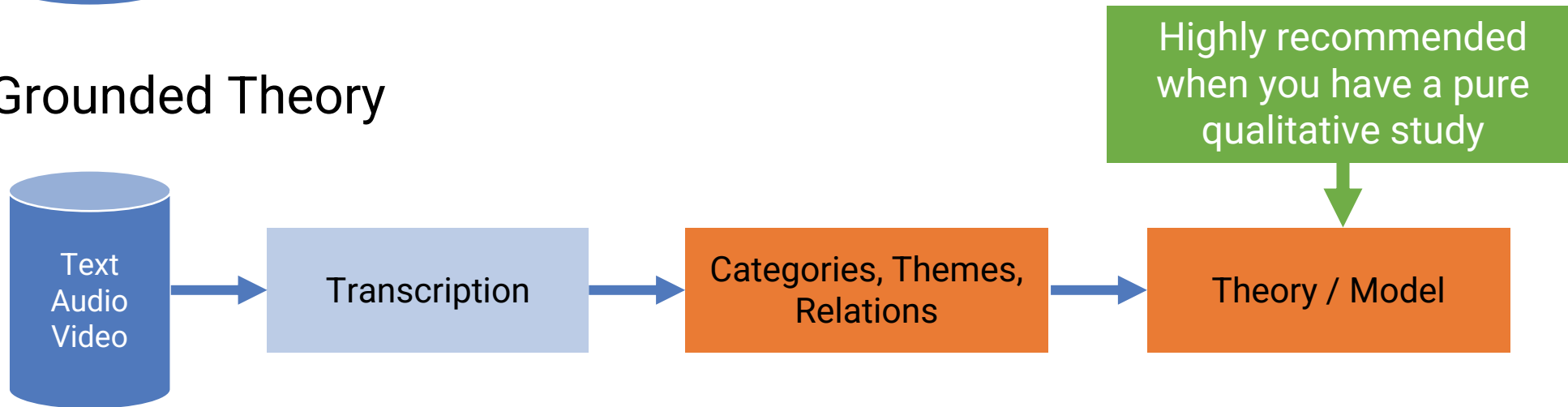


# Thematic/Content Analysis vs Grounded Theory

- Thematic Analysis



- Grounded Theory





# Transcribing

- **Only transcripts can be coded and analyzed**
  - › sentence-wise, line-wise, paragraph-wise
- **Speech** must be transcribed as clear, readable verbatim text
  - › e.g., audio raw data → [timecode, participant, condition, **text**] → table
- **Observations** must be annotated
  - › e.g., video raw data → [timecode, participant , condition, **annotation**] → table
- **Transcribed text** must be translated into English
  - › You can translate **while** transcription – but be consistent
  - › You can translate **after** transcription e.g., automatically by a native speaker or professional program
    - › but someone who can speak English must proofread it
- More Tips: <http://www.qualitative-researcher.com/qualitative-analysis/using-excel-for-qualitative-data-analysis/>

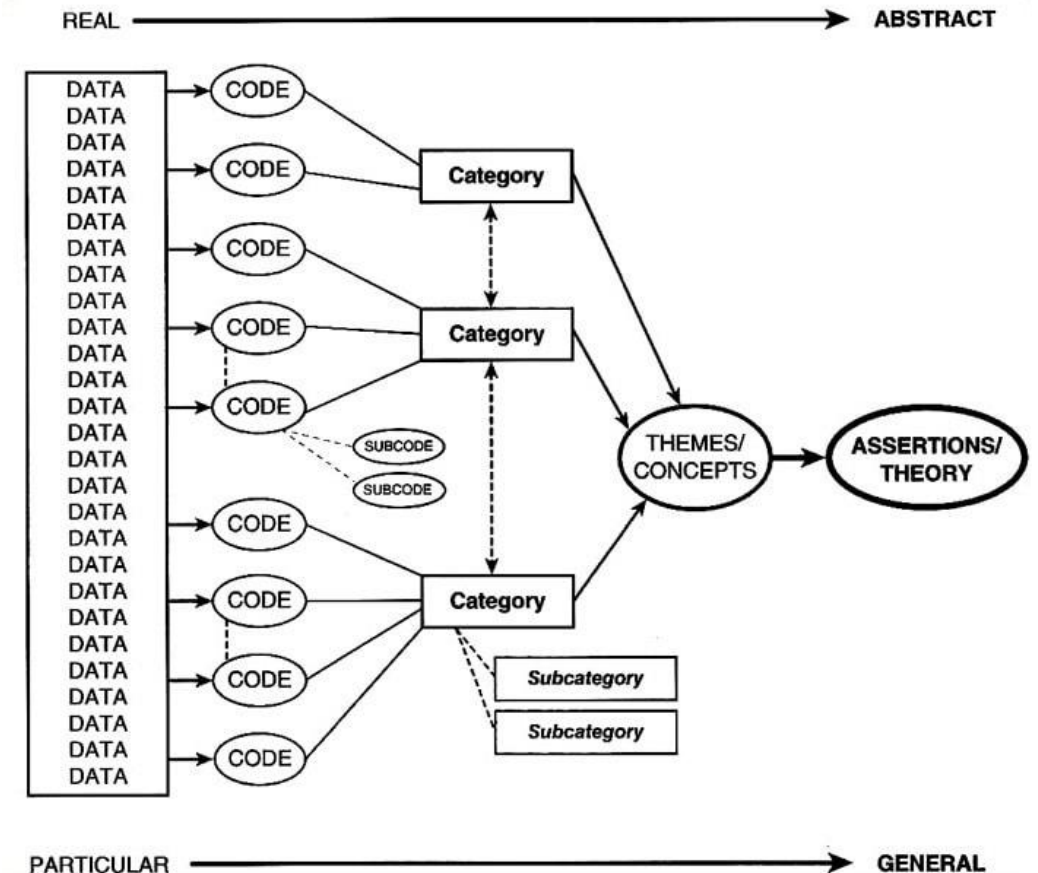
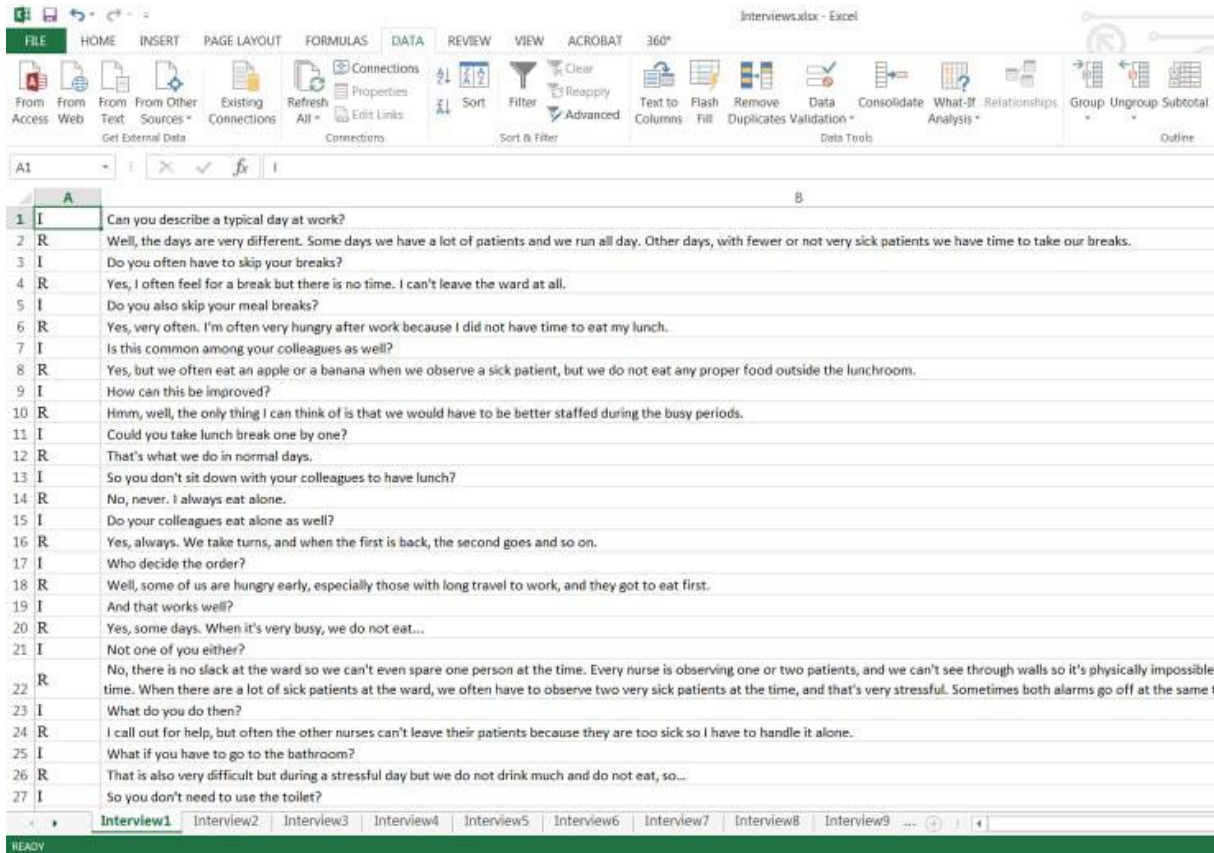
# Transcribed Video Protocol: Example

Add translation,  
codes, themes



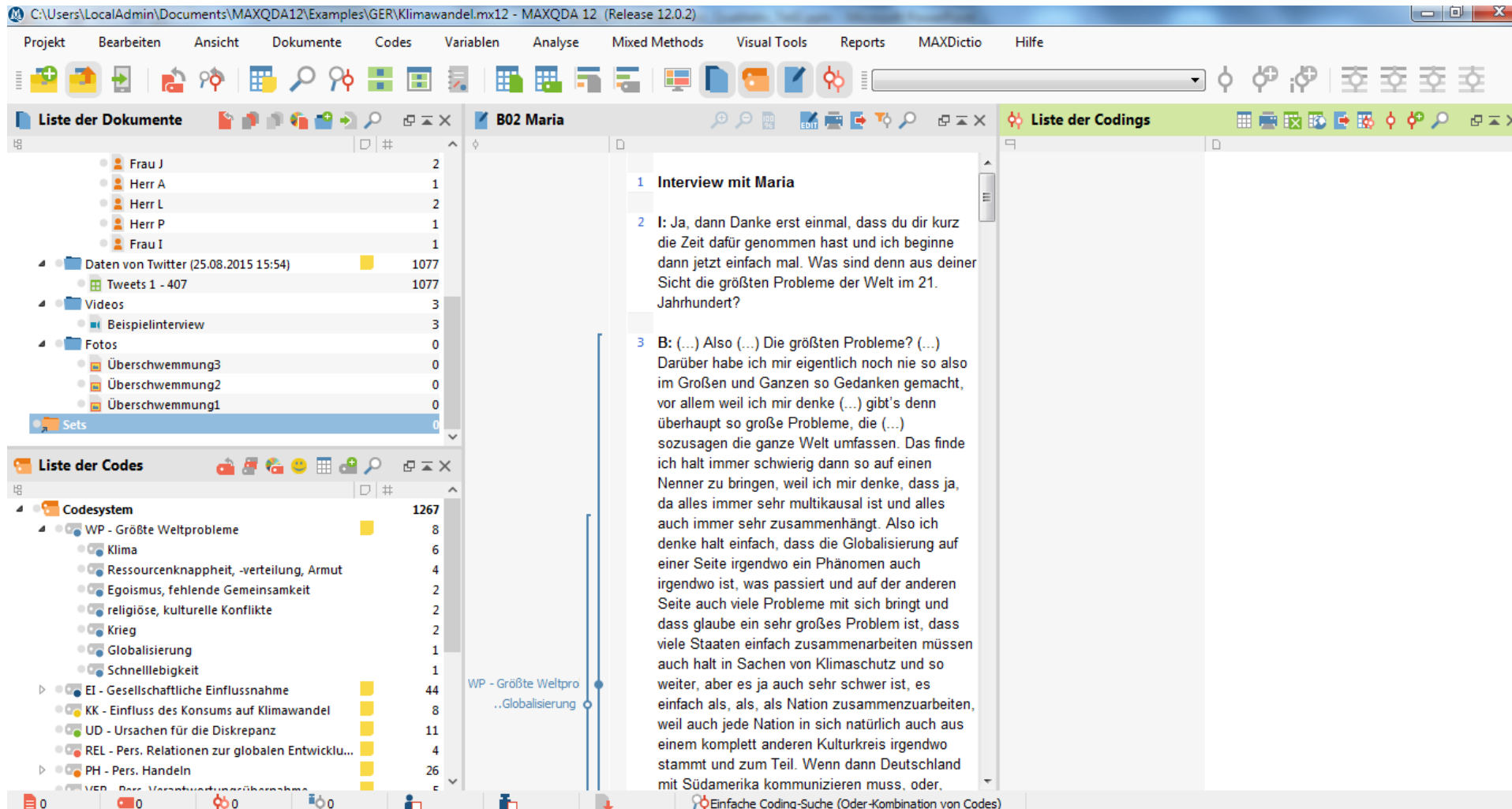
| ID  | Time  | Q/R | SubjectID | Gender | Condition       | Transcribed Utterance (Video)                                                                                                                                                                                 | Observed Action (Video)               |
|-----|-------|-----|-----------|--------|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|
| ... |       |     |           |        |                 |                                                                                                                                                                                                               |                                       |
| 130 | 13:12 | R   | 4         | m      | Male            | Unterseite der männlichen Hände wirkt sehr überzeugend. Sie wirken wie die eigenen.                                                                                                                           | Turning arms around several times.    |
| 131 | 13:13 | R   | 4         | m      | Male            | Die Behaarung der Hände bricht die Überzeugung.                                                                                                                                                               | Grabs the underside of the right arm. |
| 132 | 13:13 | R   | 4         | m      | Male            | Die Sounds steigern Immersion immens.                                                                                                                                                                         |                                       |
| ... |       |     |           |        |                 |                                                                                                                                                                                                               |                                       |
| 137 | 13:28 | R   | 4         | m      | Toon Hands      | Die Hände gefallen eher mittelmäßig. Schlechter als die Männerhände. Fühlen sich überhaupt nicht an wie die eigenen Hände. Hände werden nach kurzer zeit "ausgeblendet". Leap bugs irritieren stark.          | Shake hands in between.               |
| ... |       |     |           |        |                 |                                                                                                                                                                                                               |                                       |
| 153 | 13:32 | R   | 4         | m      | Abstract Hands  | Abstraktionsgrad fühlt sich unnatürlich an. Nicht als eigene Hände akzeptierbar. Fehlende Flächen innerhalb der Hand irritieren enorm. Die schwebende Kugel innerhalb der Hand irritiert: da nicht befestigt. |                                       |
| 154 | 13:33 |     | 4         | m      | Abstract Hands  |                                                                                                                                                                                                               | Pausing to take off glasses.          |
| ... |       |     |           |        |                 |                                                                                                                                                                                                               |                                       |
| 167 | 13:46 | R   | 4         | m      | Robot Hands     | Besser als die Kapseln und Toonhände, dank dem „Volumen“ der Hände. Zwischen abstrakt und realistisch. Männerhände fühlen sich echter an.                                                                     |                                       |
| ... |       |     |           |        |                 |                                                                                                                                                                                                               |                                       |
| 177 | 13:57 | R   | 4         | m      | Androgyne Hands | Glaubwürdiger, da näher an eigenen Händen.                                                                                                                                                                    |                                       |
| ... |       |     |           |        |                 |                                                                                                                                                                                                               |                                       |
| 187 | 13:05 | R   | 4         | m      | Female Hands    | Wirken weniger glaubwürdig als androgyne. Fingernägel irritieren enorm. Sogar weniger glaubwürdig als Robohände. Sound zur implikation.                                                                       |                                       |

# Example of Coding in Excel



Ose, S. O. (2016). Using Excel and Word to Structure Qualitative Data. Journal of Applied Social Science, 10(2), 147–162. <https://doi.org/10.1177/1936724416664948>  
 Saldaña, J. (2015). The coding manual for qualitative researchers (Third ed.): Sage.

# MAXQDA (Expensive)



# MAXQDA: Code-Matrix-Browser

**Complex Coding Query**

Function  
Near

Search for segments assigned to any one of the codes listed in "A" that is preceded or followed by a segment assigned to the code selected in "B" within no more than X paragraphs. The number X is specified in "C". (Does not work in image or PDF documents.)

A: Codes  
All activated codes Remove

People  
People\Friends  
People\Parents  
People\Partner  
People\Siblings  
Interview Guide Topics  
Interview Guide Topics\Health

B: Code  
?

C: Max. distance  
2 paragraphs (text), rows (tables), seconds (media files)

Options  
☒ Only activated documents [7]  
☐ Include subcodes  
☐ Use weight filter  
min: 0 max: 100  
☐ Only coded segments of users:  
☐ Angela  
☐ Julia  
☐ Excel  
☐ verbi  
☐ Marina

Result  
☒ Window A code ☐ Window B code ☐ Both

Segments found: 0

Open Save Start Cancel

**Code Matrix Browser**

| Code System                | George | Joanna | Jon | Kim | Mary | Teresa | Vincent | Grace | Jack | Kelly |
|----------------------------|--------|--------|-----|-----|------|--------|---------|-------|------|-------|
| ▼ Interview Guide Topics   |        |        |     |     |      |        |         |       |      |       |
| Health                     | 1      | 1      | 2   | 1   | 1    |        | 4       | 3     | 1    | 1     |
| Overall                    | 1      | 1      | 1   | 1   | 1    |        | 1       | 1     |      | 1     |
| Recreation                 | 2      | 1      | 2   | 1   | 1    |        | 1       | 1     |      | 5     |
| Home Life                  | 2      | 1      | 1   | 1   | 1    |        | 2       | 2     |      | 1     |
| Relationships              | 5      | 2      | 3   | 3   | 3    |        | 1       | 4     |      | 2     |
| Work Issues                | 1      | 2      | 1   | 2   | 2    |        | 1       | 3     |      | 1     |
| ▼ Day-to-Day Issues        |        |        |     |     |      |        |         |       | 1    | 1     |
| Emotions                   | 2      | 2      | 4   | 2   | 4    | 1      | 2       | 3     |      | 3     |
| Education                  | 1      | 3      | 3   | 1   | 5    |        |         |       |      | 4     |
| Interests                  | 5      |        | 2   | 2   | 1    |        |         | 1     |      | 3     |
| Money and Financial Issues | 2      |        | 1   |     |      |        |         |       |      | 1     |
| Religion and Spirituality  | 1      |        | 1   |     |      |        |         | 5     |      |       |
| Significantly Positive     | 2      | 4      | 4   | 3   | 2    |        | 6       | 3     |      | 3     |
| ▼ People                   | 1      | 1      | 1   | 1   | 1    |        | 6       |       |      | 4     |
| Friends                    | 3      | 2      |     | 3   | 4    |        | 1       | 3     | 1    | 3     |
| Parents                    | 2      | 2      | 2   | 1   | 2    | 1      | 1       | 4     |      | 2     |
| Partner                    | 2      | 1      | 4   | 1   | 3    |        | 1       |       |      | 3     |

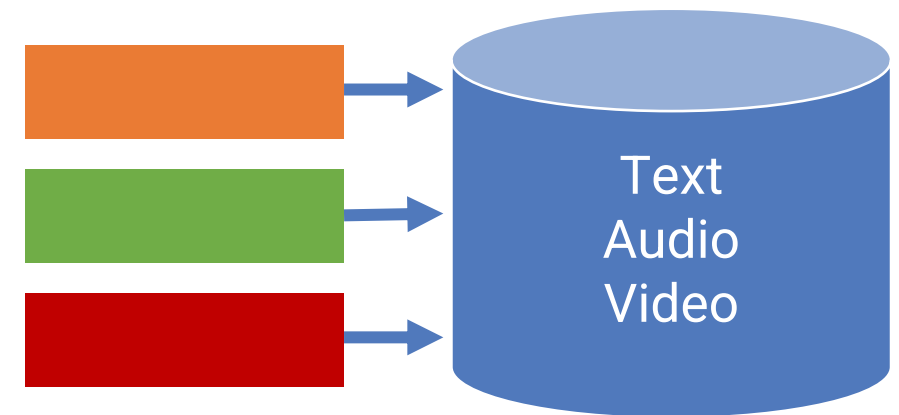
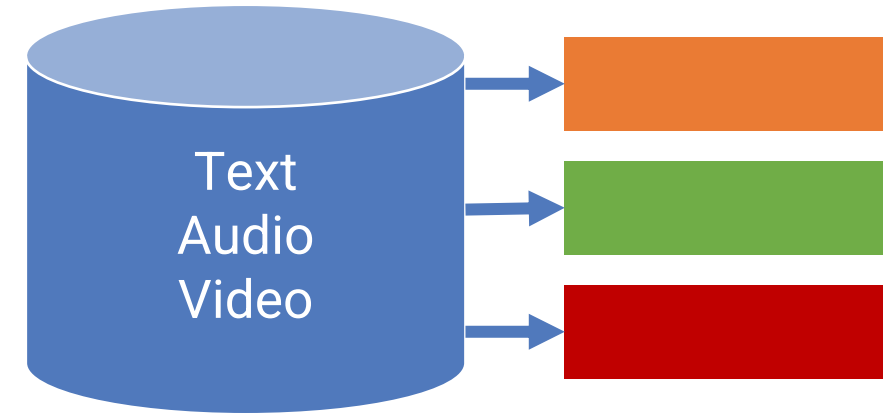
# Inductive vs Deductive Approach

## ■ Inductive Analysis

- › Very easy and the traditional qualitative analysis approach
- › Categories are derived from the data
- › You do not need any prior knowledge

## ■ Deductive Analysis

- › Structure of the analysis & categories based on prior knowledge
- › Mostly used to test a theory
  - › To test a hypothesis, we must gain a quantified outcome (e.g., a categorization matrix)
- › If prior knowledge (or the theory) does not match, we need an inductive analysis again



# Example: Inductive Analysis

- A qualitative study investigating the acceptability of the Google Glass eyewear computer to people with Parkinson's disease (PD) [1]
  - › 'Hands on the Glass' workshop: 5 patients, 2 therapists
  - › 5-day field study with 4 patients: 5 tasks, interviews on the experiences (via phone)
  - › *The workshop and interviews were audio recorded, which were transcribed and anonymised for later analysis.*
  - › Target concept: acceptability
  - › Target group: people with parkinson

[1] McNaney, R., Vines, J., Roggen, D., Balaam, M., Zhang, P., Poliakov, I., & Olivier, P. (2014). Exploring the Acceptability of Google Glass As an Everyday Assistive Device for People with Parkinson's. <https://dl.acm.org/doi/10.1145/2556288.2557092>

P3 (m): "my voice wasn't always working...it came up saying 'try again'"

P5 (f): "the fact that it wasn't recognising what I wanted was very irritating and very frustrating"

P2 (m): "it's better than a phone. With Parkinson's you can't text because you can't hit the buttons. With the glass you would just talk, you can see what you're doing, it's just instant"





# Example: Inductive Analysis

- *“We conducted an inductive thematic analysis [4] on transcribed data by coding it at the sentence to paragraph level and drawing out themes across the data set. The quotes used to describe themes illustrate themes drawn across all participants and data, with the exception of instances where we focus on individual differences (e.g., the ‘Wearing the Glass out and about’ section).” [1]*
  - › Workshop findings:
    - › Issues and Frustrations, Confidence and Safety, Security and Vulnerability, Privacy
  - › Field study findings:
    - › Wearing Glass while ‘Out and About’, Frustrations, Appreciating Glass Wearing
  - › Code common themes of the data set: Overview about the findings

[1] McNaney, R., Vines, J., Roggen, D., Balaam, M., Zhang, P., Poliakov, I., & Olivier, P. (2014). Exploring the Acceptability of Google Glass As an Everyday Assistive Device for People with Parkinson's. <https://dl.acm.org/doi/10.1145/2556288.2557092>



# Deductive Analysis

- **Categories are given**
  - › e.g., by another researcher, the theory, the research question
- **Allocation** of the answers **to the codes** (“categories” or “themes”)
  - › a categorization matrix can quantify the outcome
    - › see **repertory grid**
  - › useful in **elicitation studies**
    - › see **agreement rate**
- What to do with content that is not in the matrix?
  - › Create extra categories analogous to the inductive procedure and repeat
  - › Ignore it

# Quality Criteria

- **Everything is recorded**, clearly **documented**, and **justified** (process steps and code criteria)
  - › Path from data to criteria is transparent (traceability)
  - › Multiple data sources confirm codes (triangulation)
    - › **Intra-encoder Reliability**: Consistency when the encoder encodes the same data again
    - › **Inter-encoder Reliability**: Consistency when different encoders encode the same data
- Dimensions of **Quality Assessment**
  - › **Agreement** : Matched Cases / Total Cases
  - › **Cohen's Kappa**: Agreement relativized by the coincidence that is possible by chance
  - ›  $K = (P_a - P_c) / (1 - P_c)$ 
    - ›  $P_a$  = Percentage of Matches
    - ›  $P_c$  = Percentage of matches that can come about by chance

# Example: Deductive Analysis

- Part of an ongoing project: LGBTQ+ individuals' information behaviour in online communities
  - › *“**We applied the method of deductive thematic analysis** informed by Aronson [1]. Deductive thematic analysis was chosen because it facilitates the interpretation of identifiable themes and patterns of behaviour. **The data corpus was read a number of times and were copied into a document. A detailed reading was carried out where initial thoughts were noted.** These notes are related to concepts and phrases that the researcher considered relevant to relatedness needs. The initial **notes were transformed into the main themes** of each posts and a list of specific themes were generated. At this stage the data were given to another rater who also generated a list of themes from the data. The **two raters then discussed and negotiated the findings until an agreement was reached** as to the validity and appropriateness of each theme. The data were again re-read and the themes were refined into more specific clusters based on RMT. Statements from the raw data were extracted to provide evidence of the existence of each theme within certain categories.” [2]*

[1] Aronson, J. 1994. A pragmatic view of thematic analysis. Qualitative Report, 2(1), 1-3.

[2] Romy Menghao Jia, Jia Tina Du and Yuxiang Chris Zhao. 2021. Needs for Relatedness: LGBTQ+ Individuals' Information Seeking and Sharing in an Online Community. In Proceedings of 2021 ACM SIGIR Conference on Human Information Interaction and Retrieval (CHIIR'21), March 2021, Canberra, Australia. ACM, New York, NY, USA, 4 pages. <https://doi.org/10.1145/3406522.3446040>

# Example: Deductive Analysis

**Table 1. Categories and emergent themes of relatedness needs**

| Main categories                        | Emergent themes                               | Frequency |
|----------------------------------------|-----------------------------------------------|-----------|
| Being cared about                      | Community belongingness                       | 32        |
|                                        | Community support                             | 22        |
|                                        | Others' acceptance                            | 20        |
| Caring for others                      | Advice and experience sharing                 | 6         |
| Building and maintaining relationships | Romantic or sexual relationships              | 51        |
|                                        | Looking for relationships (sex, friend, date) | 25        |
|                                        | In total                                      | 156       |

Romy Menghao Jia, Jia Tina Du and Yuxiang Chris Zhao. 2021. Needs for Relatedness: LGBTQ+ Individuals' Information Seeking and Sharing in an Online Community. In Proceedings of 2021 ACM SIGIR Conference on Human Information Interaction and Retrieval (CHIIR'21), March 2021, Canberra, Australia. ACM, New York, NY, USA, 4 pages. <https://doi.org/10.1145/3406522.3446040>

# Trustworthiness

- Evaluators can have **different interpretations** of the data
- Ways of establishing **trustworthiness**:
  - member check, interviewer corroboration, prolonged engagement, peer debriefing, negative case analysis
  - ...
- **Concepts** on which the researchers do not agree with each other can be **resolved through discussion, a third researcher, or left open**

# Grounded Theory

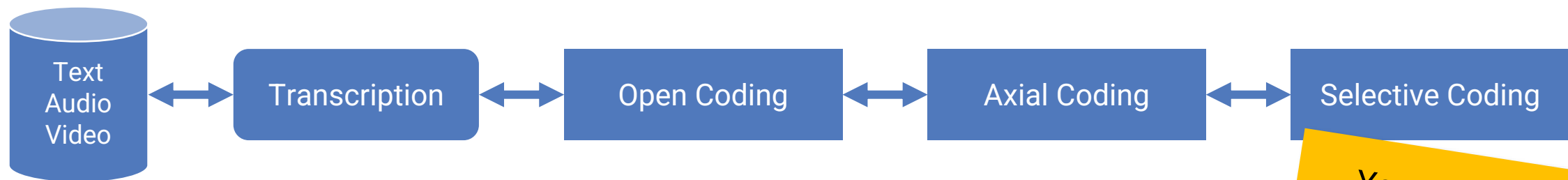
- Not a „theory“ but **a methodology** ( $\neq$  method)
  - a systematic, theoretical analysis of qualitative (and sometimes even quantitative) data
- Mostly used in the field of **data analysis of qualitative feedback**
- Grounded theory is **the process of deriving a high level of conceptual abstraction** by assigning general concepts (codes) to singular incidences, relating, and identifying the core concept.
- The goal of grounded theory is to systematically derive a clear and testable hypothesis or well-grounded theory

# Grounded Theory and Thematic Analysis

- In both, **you take a body of data**, such as
  - › interview transcripts, observations, research literature, any protocols, videos, audio, images, social media posts, observation, posts, articles, research papers,...
- In both, **you search for**
  - › Opinions, phenomena, artifacts in the data, events, data patterns
  - › Any sampling does not depend on how often or how rarely cases in the data occur!
    - › **One single incidence can lead to a new theory!**
- In both, **you identify common categories or themes** in your data
  - › **Grounded Theory** continues the process inductively and deductively to derive relationship and **a new theory and more meaning** around the related categories or themes
    - › **Requires a high level of abstraction**

# Analysis Process

- Grounded theory analysis involves the following basic steps:
  1. **Data Collection** and Transcription
  2. **Open Coding**: Conceptual Labeling and Coding text
  3. **Axial Coding**: Finding Relationships between the Categories
  4. **Selective Coding**: Selection of Core Phenomenon and Theory



*You can go back!*

[1] Strauss, A., & Corbin, J. M. (1997). Grounded theory in practice. Sage.



# Analysis Process: Example

**RQ: „Why do people not click on some buttons on my interface?“**

*#1 „I did not click on the button because it has no functionality in this moment.“*

*#2 „The button seemed to be useless.“*

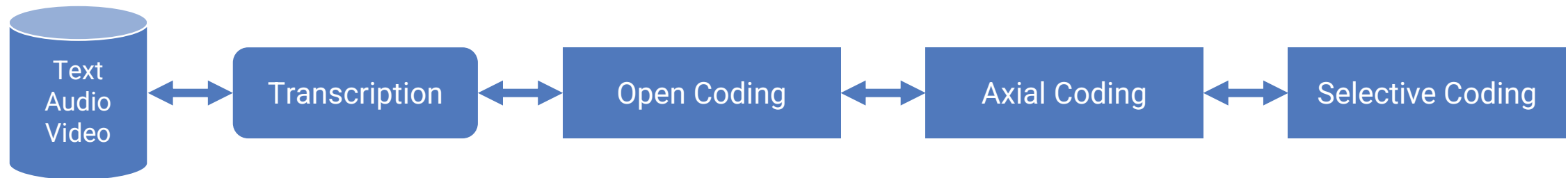
Code: UI Element  
Visibility (CF)  
Code: Context  
awareness (CA)

Button should  
communicate  
functionality or  
must be invisible.

*The button should  
only be visible if  
needed.*  
(Mini/Sub-Theory)

CF required when  
CA confirms  
functionality.  
Visibility signals  
functionality.

Theoretical  
framework: “We  
only need the  
functionality in an  
interface that we  
need during the  
interaction.”  
(Core Concept)



# Open Coding

- **Segment data** into meaningful expressions and describe them in a concept or theme
  - › Existing annotations and concepts are attached to these expressions or create new relations (open coding)
- **Break down, understand the concept** and **develop categories** using open (W) questions
  - › **What?** - Identify the underlying issue and the phenomenon
  - › **Who?** - Identify the actors involved and the roles they play
  - › **How?** - Identifying the aspects of phenomenon
  - › **When?** How long? Where? - Time, course, location
  - › **How much?** How long? - The intensity or duration
  - › **Why?** - Identifying the reasons causing the phenomenon

# Axial Coding

- Deductive analysis and focusing on the **phenomenon(s) under study using a template**
- Name all conditions and incidences related to that phenomenon
  - › Context and structural conditions
  - › Causes
  - › Exceptions
- Assign all actions and interactional strategies directed at managing or handling the phenomenon
- **Consequences of the actions/interactions** related to the phenomenon

**Raw Transcript.** “D6: The readability here is awful, but there is no way to escape from this (implementation). That is the standard (implementation). (...) indeed, it (the class) is not easy to ready”

**Code 1.** developer mentions that the class readability is awful

**Code 2.** developer mentions that there is no way to escape from the analyzed implementation

**Code 3.** developer mentions that the analyzed implementation is the standard implementation

**Code 4.** developer accepts that the class is hard to read

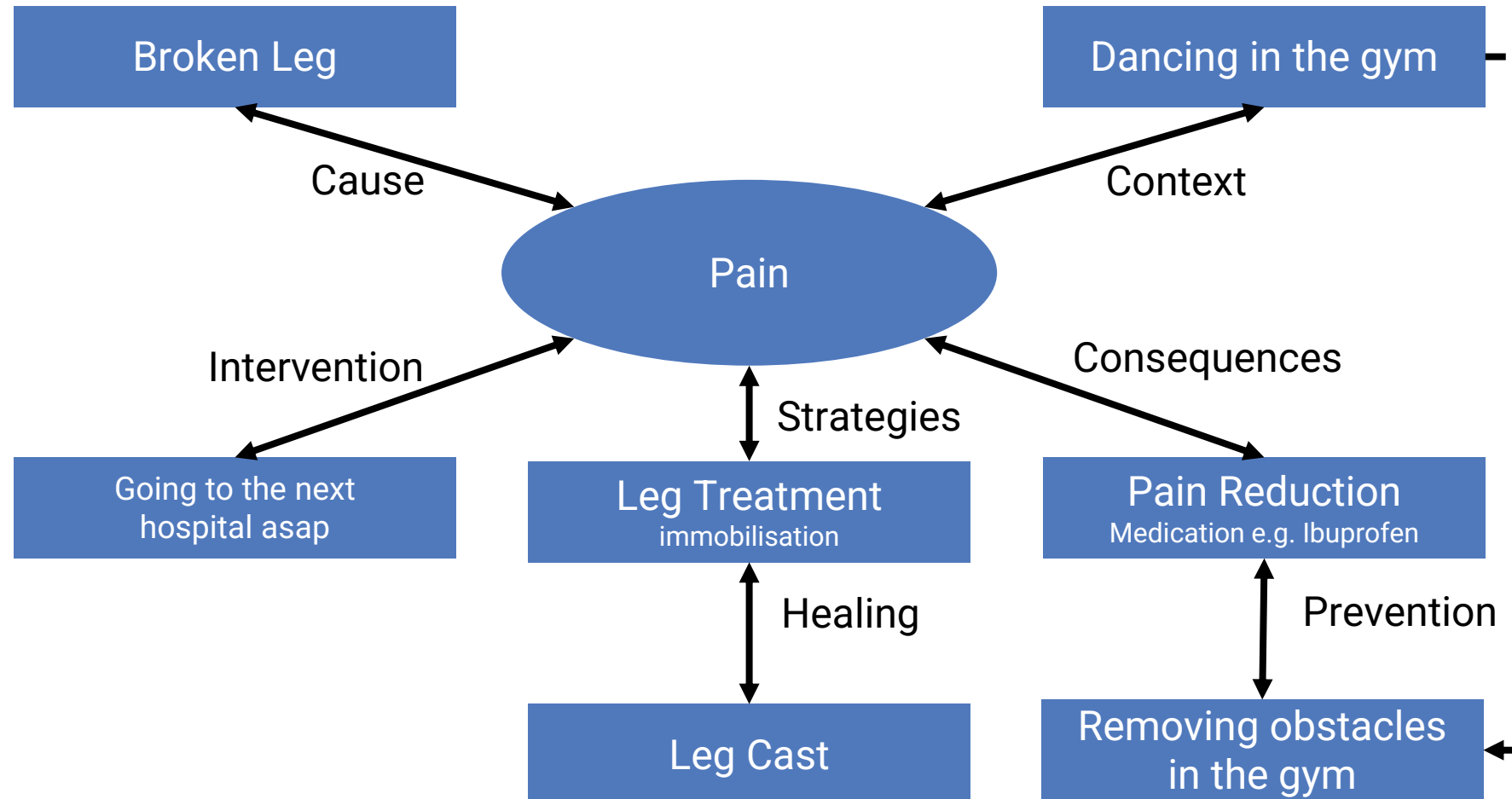
We related the codes through *axial coding*. In this procedure, the codes were merged and grouped into more abstract categories, and the type of relation [44] was established. For instance, the previous codes were grouped into the following two categories:

**Category 1.** analysis of a non-functional requirement

**Category 2.** explanation for the existence of the symptom

Leonardo Sousa, Anderson Oliveira, Willian Oizumi, Simone Barbosa, Alessandro Garcia, Jaejoon Lee, Marcos Kalinowski, Rafael de Mello, Balduino Fonseca, Roberto Oliveira, Carlos Lucena, and Rodrigo Paes. 2018. Identifying design problems in the source code: a grounded theory. In Proceedings of the 40th International Conference on Software Engineering (ICSE '18). Association for Computing Machinery, New York, NY, USA, 921–931.  
<https://doi.org/10.1145/3180155.3180239>

# Example: Axial Coding of Pain



See Strauss/Corbin 1990, 99-107

# Selective Coding

- **Integrate the different categories** that have been developed during axial coding into one cohesive theory or framework
- **Results from axial coding are further elaborated, integrated, and validated on an abstract level**
  - › Is there an **overarching theory**?
  - › What is the **overarching theory**?
- **Choose the core category and relate it with the other categories from axial coding**
  - › If the core category is found, the story line of the research is set, and the researcher knows the central phenomenon of the research and can finally answer the research question and name the theory.

## 4.1 Properties of Emotional Exploration

The core category of 'Emotional Exploration' has six properties, which are summarised and explored below:

- It requires *expectations* to be appropriately set.
- The emotional landscape needs some level of *challenge* to be understood or 'traversed' (as we would a 'mechanical' landscape).
- One of the key tools for this is *ambiguity*.
- Emotional exploration results in a *mixed affect* emotional experience.
- The data here suggests that this emotional experience is *potentially more powerful* than that experienced in other media due to the player's participation in the diegesis and a certain level of *interactive vulnerability*.
- Emotional Exploration satisfies a need for *relatedness* in the player.

Leonardo Sousa, Anderson Oliveira, Willian Oizumi, Simone Barbosa, Alessandro Garcia, Jaejoon Lee, Marcos Kalinowski, Rafael de Mello, Balduino Fonseca, Roberto Oliveira, Carlos Lucena, and Rodrigo Paes. 2018. Identifying design problems in the source code: a grounded theory. In Proceedings of the 40th International Conference on Software Engineering (ICSE '18). Association for Computing Machinery, New York, NY, USA, 921–931. <https://doi.org/10.1145/3180155.3180239>

# When Grounded Theory?

- If you want to **derive theories** and even **testable hypotheses**:
  - „Compared to the smartphone application, the voice interface improves the user experience, but increases the frustration level when errors occur.“*
  - “Women perceive higher levels of immersion than men with decreasing levels of avatar realism in virtual reality.”*
  - “Our machine-learning based model outperforms linear prediction in terms of precision and accuracy.”*
- If you want to **derive a theoretical framework**
- When you have a mixed-method study and no idea how to structure the feedback
  - › No. Then do a thematic analysis.
- When you only have a qualitative study and no idea what your contribution is
  - › Yes. Then do it.

# Summary

- Evaluating the results **is an essential part** of your study
- **Report all results** and **measures**
- **Quantitative Data**: objectively are being reported in descriptive **and** inferential statistics
  - › **Descriptive Statistics**: text, plot, or table
  - › **Inferential Statistics**: the correct statistical test and post hoc comparison for each of your measure
- **Qualitative Data**: subjectively analyze the feedback and observations using
  - › **Thematic Analysis**: okay in mixed-methods
  - › **Grounded Theory**: highly recommended to extent the contribution

# Exercise: Find your Qualitative Analysis

- **Do you want to answer your research questions using qualitative methods?**
- Which concepts do you investigate?
- Create an example transcript fitting to your user study.
- How do you analyze the result?
- Who will be responsible for transcribing, coding, counter-checking?
- **Discuss in your team**



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